The Appearance of Fairness Doctrine in Washington State
This publication is designed to provide an overview of the appearance of fairness doctrine as it is applied in Washington State.

All municipal officials in Washington face concerns about making sure that meetings and hearings are conducted in a fair manner. This publication is intended to serve as a resource and convenient handbook for elected and appointed municipal officials.

It reviews how the appearance of fairness doctrine developed in Washington State – first by court-made law, and later by state legislation – and provides a number of suggestions for assuring compliance with the law. It also contains a section on commonly asked questions, and includes sample checklists for conducting hearings. The appendix contains the full text of the appearance of fairness statutes, samples of meeting procedures for quasi-judicial hearings, and an outline of cases that illustrate how the doctrine has been applied in Washington.

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Introduction to the Appearance of Fairness Doctrine

The appearance of fairness doctrine is a rule of law requiring government decision-makers to conduct non-court hearings and proceedings in a way that is fair and unbiased in both appearance and fact. It was developed as a method of assuring that due process protections, which normally apply in courtroom settings, extend to certain types of administrative decision-making hearings, such as rezones of specific property. The doctrine attempts to bolster public confidence in fair and unbiased decision-making by making certain, in both appearance and fact, that parties to an argument receive equal treatment.

Judicially established in Washington State in 1969, the doctrine requires public hearings that are adjudicatory or quasi-judicial in nature meet two requirements: hearings must be *procedurally fair,* and *must appear to be conducted by impartial decision-makers.*

In 1982, the Washington State Legislature codified the portion of the appearance of fairness doctrine that applies to land use proceedings. The next sections will address how Washington courts have defined the doctrine, the statutory provisions of the doctrine, types of proceedings to which the doctrine applies, recognized violations of the doctrine, and suggestions for compliance.

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History of the Doctrine
in Washington State

Court-Developed Doctrine

The appearance of fairness doctrine developed in Washington in the context of zoning hearings. In several 1969 cases, the Washington State Supreme Court invalidated local land use regulatory actions because either the hearings appeared unfair, or public officials with apparently improper motives or biases failed to disqualify themselves from the decision-making process. The court decided that the strict fairness requirements of impartiality and procedural fairness mandated in judicial hearings should be applied when administrative bodies hold quasi-judicial hearings that affect individual or property rights.

This application reflected the court's belief in the importance of maintaining public confidence in land use regulatory processes. As stated in Chrobuck v. Snohomish County:

Circumstances or occurrences arising within such processes that, by their appearance, undermine and dissipate confidence in the exercise of zoning power, however innocent they might otherwise be, must be scrutinized with care and with the view that the evils sought to be remedied lie not only in the elimination of actual bias, prejudice, improper influence or favoritism, but also in the curbing of conditions that, by their very existence, create suspicion, generate misinterpretation, and cast a pall of partiality, impropriety, conflict of interest or prejudgment over the proceedings to which they relate.

Washington courts have consistently contrasted the differences between the political process, which is designed to be responsive to public opinion, and the judicial process, which is designed to ensure that disputes are resolved according to sound legal principles. The Chrobuck court stated the doctrine in this manner:

... public officers impressed with the duty of conducting a fair and impartial fact-finding hearing upon issues significantly affecting individual property rights as well as community interests, must so far as practicable, consideration being given to the fact that they are not judicial officers, be open minded, objective, impartial and free of entangling influences or the taint thereof. . . . They must be capable of hearing the weak voices as well as the strong. To permit otherwise would impair the requisite public confidence in the integrity of the

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3 78 Wn.2d 858, 480 P.2d 489 (1971).
planning commission and its hearing procedures.4

Legislation Not Subject to Appearance of Fairness Doctrine

Our courts have not imposed the appearance of fairness doctrine on legislative or political proceedings. This is probably due to the recognition that legislators most often act in policy-making roles and are often influenced by their personal predilections and biases as well as those of the people they represent. Because legislators are expected to respond to variations in public opinion, frequent informal contact between elected officials and the public is recognized as necessary for the on-going business of democratic government. The elaborate procedural safeguards imposed by courts are not necessary for legislative proceedings because, ultimately, it is the voters who protect the process of legislation.

The Importance of Impartial Decision-Makers

As developed in case law, the appearance of fairness doctrine is intended to protect against actual bias, prejudice, improper influence, or favoritism. It is also aimed at curbing conditions that create suspicion, misinterpretation, prejudgment, partiality, and conflicts of interest. If an action is subject to the appearance of fairness doctrine, then all legally required public hearings, as well as the participating public officials, will be scrutinized for apparent fairness.

From the earliest Washington cases, our courts have demanded that decision-makers who determine rights between specific parties must act and make decisions in a manner that is free of the suspicion of unfairness. The courts have been concerned with “entangling influences” and “personal interest” which demonstrate bias, and have invalidated local land use decisions because either the hearings appeared unfair or public officials with apparently improper motives failed to disqualify themselves from the decision-making process.

In Buell v. Bremerton5 the state supreme court identified three major categories of bias that it recognized as grounds for the disqualification of decision-makers who perform quasi-judicial functions: personal interest, prejudgment of issues, and partiality.

Personal Interest

Personal interest exists when someone stands to gain or lose because of a governmental decision. Our courts have found personal interest to exist in the following situations:

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580 Wn.2d 518, 524, 495 P.2d 1358 (1972).
• **Financial Gain** – In *Swift v. Island County*, the condemned conflict arose from the fact that the chairperson of the board of county commissioners was also a stockholder and chairperson of the board of the mortgagee of the affected development.

• **Property Ownership** – In *Buell v. Bremerton* (Appendix B), a planning commission member was disqualified because the value of his land increased due to rezone of property next to his land. (But where property is too far away to be directly benefitted by rezone, no violation occurs.)

• **Employment by Interested Person** – A planning commissioner involved in a rezone decision, was employed by a bank holding a security interest in land, that doubled in value due to the rezone. (But past employment of an official by a rezone applicant is not a violation.)

• **Prospective Employment by Interested Person** – Prospective employment for city councilmember which might appear to be based on his decision (retained as attorney for successful land use applicant).

• **Associational or Membership Ties** – Any “entangling influences impairing the ability to be or remain impartial.”

• **Family or Social Relationships** – Relationships between a decision-maker and parties to a hearing, or non-parties who have an interest in the outcome of the proceeding, should be disclosed and made part of the record.

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**Prejudgment of Issues**

Although public officials are not prohibited from expressing opinions about general policy, it is inappropriate for decision-makers to be close-minded before they even hear testimony on a contested matter. Decision-makers need to reserve judgment until after all the evidence has been presented.

Impartiality in a proceeding may be undermined by a decision-maker's bias or prejudgment toward a pending application. In *Anderson v. Island County*, the state supreme court overturned a decision because a councilmember had prejudged a particular issue. He had made an unalterable decision before the hearing was held, evidenced by telling the applicant during the hearing that he was “just

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6 87 Wn.2d. 348, 552 P.2d 175 (1976).

7 *Buell, supra.*

8 *Byers v. The Board of Clallam County Commissioners*, 84 Wn.2d 796, 529 P.2d 823 (1974).


10 *Narrowsview, supra.*


12 *Save A Valuable Environment (SAVE) v. City of Bothell*, 89 Wn.2d. 862, 576 P.2d 401 (1978).
wasting his time” talking. (By statute, candidates can express opinions on proposed or pending quasi-judicial matters; but once elected to office they are expected to be able to draw the line between general policy and situations in which general policy is applied to specific factual situations.)  

Partiality

Partiality is anathema to fair hearings and deliberations. The existence of hostility or favoritism can turn an otherwise carefully conducted hearing into an unfair proceeding. Partiality can also cost a city incalculable hours of wasted staff time and energy.

For example, in Hayden v. Pt. Townsend, 28 Wn. App. 192 (1981), the planning commission chairperson, who advocated a particular rezone for his business, relinquished his position as chair of the hearing, and did not vote or otherwise participate in his official capacity. Nevertheless, an appearance of fairness violation occurred because the planning commission chairperson acted as an advocate of the rezone by joining the hearing audience, acting as an agent of the rezone applicant, questioning witnesses, and advising the acting chairman on procedural matters.

In Buell v. Bremerton, an appearance of fairness violation occurred because a planning commission member continued to participate even though the rezone would have been approved without his vote, and the planning commission approval was merely a recommendation to council. In reviewing the continuing participation of the disqualified member, the court found that the “bias of one member infects the actions of other members.” “The importance of the appearance of fairness has resulted in the recognition that it is necessary only to show an interest that might have influenced a member of the commission and not that it actually so affected him.”

Because each fact-situation requires a subjective evaluation, a great deal of confusion is caused by the different applications of the doctrine. No doubt the unpredictable nature of court application of the doctrine helped encourage the legislature to standardize the doctrine's application in land use matters.

While most of the early appearance of fairness cases involved zoning matters, our courts have also applied the doctrine to civil service and other types of administrative proceedings involving quasi-judicial hearings. See attached summary of Washington appearance of fairness cases, Appendix B.

Test for bias:

- Has the decision been made solely on the basis of matters of record?
- Would a fair-minded person, observing the proceedings, be able to conclude that everyone had been heard who should have been heard?
- Did decision-makers give reasonable faith and credit to all matters presented, according to the weight and force they were reasonably entitled to receive?

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13 Chrobuck, supra.

14 Buell at 523.

15 Smith v. Skagit Co., supra.
The Statutory Doctrine

Types of Proceedings to Which it Applies

In 1982, the state legislature enacted what is now chapter 42.36 RCW, codifying the appearance of fairness doctrine. The statutory doctrine applies only to local quasi-judicial land use actions, as defined in RCW 42.36.010:

...those actions of the legislative body, planning commission, hearing examiner, zoning adjuster, board of adjustment, or boards that determine the legal rights, duties or privileges of specific parties in a hearing or other contested case proceeding.

The primary characteristics of a quasi-judicial matter are that:

- the decision has a greater impact on a limited number of persons or property owner, and has limited impact on the community at large;
- the proceedings are aimed at reaching a fact-based decision by choosing between two distinct alternatives; and
- the decision involves policy application rather than policy setting.

The following types of land use matters meet this definition: subdivisions, preliminary plat approvals, conditional use permits, SEPA appeals, rezones of specific parcels of property, variances, and other types of discretionary zoning permits if a hearing must be held.

The statutory doctrine does **not** apply to the following actions:

- adoption, amendment, or revision of comprehensive plans
- adoption of area-wide zoning ordinances
- adoption of area-wide zoning amendments
- building permit denial.

As a practical matter, if both legislative and adjudicative functions are combined in one proceeding, and any showing of bias is present, the appearance of fairness rules should be followed.
Basic Requirements of the Statute

Applies Only to Quasi-Judicial Proceedings

**RCW 42.36.010** – Application of the appearance of fairness doctrine to local land use decisions shall be limited to the quasi-judicial actions of local decision-making bodies.

The appearance of fairness doctrine applies only to *quasi-judicial* actions of local decision-making bodies when a hearing is required by statute or local ordinance.$^{16}$

Public officials act more like judges than administrators or legislators when they participate in quasi-judicial hearings. This means that they must listen to and evaluate testimony and evidence presented at a hearing; they must determine the existence of facts; they must draw conclusions from facts presented; and then decide whether the law allows the requested action. A quasi-judicial proceeding involves policy *application*, rather than policy *making*.

“Quasi-judicial actions” are defined to include:

...actions of the legislative body, planning commission, hearing examiner, zoning adjuster, board of adjustment, or boards which determine the legal rights, duties, or privileges of specific parties in a hearing or other contested case proceeding.

The principle characteristics of quasi-judicial proceedings:

- generally have a greater impact on *specific individuals* than on the entire community.
- aimed at arriving at a fact-based decision between two distinct alternatives, i.e., pro or con.
- decision involves policy application rather than policy setting.

The following matters have been determined by the courts to be quasi-judicial if a public hearing must be held: conditional uses, variances, subdivisions, rezoning a specific site, PUD approval, preliminary plat approval, discretionary zoning permits, appeal of a rezone application, other types of zoning changes that involve fact-finding and the application of general policy to a discrete situation.

**Before proceeding with a hearing:** Determine whether the intended action will produce a general rule or policy that applies to an open class of individuals, interests, or situations (and is thus legislative), or whether it will apply a general rule of policy to specific individuals, interests, or situations (and is therefore quasi-judicial).

Does Not Apply to Policy-Making or Legislative Actions

**RCW 42.36.010** – Quasi-judicial actions do not include the legislative actions adopting, amending, or revising comprehensive, community, or neighborhood plans or other land use planning documents or the adoption of area-wide zoning ordinances or the adoption of a zoning amendment that is of area-wide significance.

Policy-making is clearly the work of legislative bodies and doesn't resemble the ordinary business of the courts. The doctrine *does not* apply to local legislative, policy-making actions of the type that adopt, amend, or revise comprehensive, community, or neighborhood plans or other land use planning documents. It also does not apply to the passage of area-wide zoning ordinances, or to the adoption of zoning amendments that are of area-wide significance.

Even though a zoning amendment might affect specific individuals, if it applies to an entire zoning district, it will be considered legislative, not quasi-judicial. As the court noted in *Raynes v. Leavenworth*:

> The fact that the solution chosen has a high impact on a few people does not alter the fundamental nature of the decision.17

The courts have also determined the following matters to be legislative (e.g., political or policy decisions) and therefore not subject to the appearance of fairness doctrine: comprehensive plans, initial zoning decisions, amendments to the text of zoning ordinances, street vacations, revision of a community plan viewed by the court to be “in the nature of a blueprint and policy statement for the future,”18 determining where to place a highway interchange.19

**Special Rules Apply During Elections**

**RCW 42.36.050** – A candidate for public office who complies with all provisions of applicable public disclosure and ethics laws shall not be limited from accepting campaign contributions to finance the campaign, including outstanding debts; nor shall it be a violation of the appearance of fairness doctrine to accept such campaign contributions.

During campaigns, candidates for public office are allowed to express their opinions about pending or proposed quasi-judicial actions, even though they may be involved in later hearings on these same actions. Candidates are also allowed to accept campaign contributions from constituents who have quasi-judicial matters pending before the decision-making body as long as candidates comply with applicable public disclosure and ethics laws.20

17 *Raynes, supra.* at 249.

18 *Westside Hilltop Survival Committee v. King County,* 96 Wn.2d 171, 179, 634 P.2d 862 (1981).


Ex Parte Contacts Are Prohibited

Ex parte literally means “one sided.” Ex parte contact involves a one-sided discussion without providing the other side with an opportunity to respond and state their case.

RCW 42.36.060 – During the pendency of any quasi-judicial proceeding, no member of a decision-making body may engage in ex parte communications with opponents or proponents with respect to the proposal which is the subject of the proceeding unless that person:

(1) places on the record the substance of any written or oral ex parte communications concerning the decision or action; and

(2) provides that a public announcement of the content of the communication and of the parties' rights to rebut the substance of the communication shall be made at each hearing where action is considered or taken on the subject to which the communication is related. This prohibition does not preclude a member of a decision-making body from seeking in a public hearing specific information or data from such parties relative to the decision, if both the request and the results are a part of the record. Nor does such prohibition preclude correspondence between a citizen and his or her elected official, if any such correspondence is made a part of the record when it pertains to the subject matter of a quasi-judicial proceeding.

A basic principle of fair hearings is that decisions are made entirely on the basis of evidence presented at the proceedings. All parties to a conflict should be allowed to respond and state their case. Consequently, while a quasi-judicial proceeding is pending, no member of a decision-making body is allowed to engage in ex parte (one-sided or outside the record of the hearing) communications with either proponents or opponents of the proceeding.

A decision-maker is allowed to cure a violation caused by an ex parte communication by:

- placing the substance of any oral or written communications or contact on the record; and

- at each hearing where action is taken or considered on the subject, (1) making a public announcement of the content of the communication, and (2) allowing involved parties to rebut the substance of the communication.

This rule does not prohibit written correspondence between a citizen and an elected official on the subject matter of a pending quasi-judicial matter, if the correspondence is made a part of the record of the proceedings.

No Disqualification for Prior Participation

RCW 42.36.070 – Participation by a member of a decision-making body in earlier proceedings that result in an advisory recommendation to a decision-making body shall not disqualify that person from participating in any subsequent quasi-judicial proceeding.
A decision-maker (such as a councilmember who was formerly a planning commission member) who participated in earlier proceedings on the same matter that resulted in an advisory recommendation to another decision-making body (e.g., the city council) is not disqualified from participating in the subsequent quasi-judicial proceedings.

**Challenges Must Be Timely**

**RCW 42.36.080** – Anyone seeking to rely on the appearance of fairness doctrine to disqualify a member of a decision-making body from participating in a decision must raise the challenge as soon as the basis for disqualification is made known to the individual. Where the basis is known or should reasonably have been known prior to the issuance of a decision and is not raised, it may not be relied on to invalidate the decision.

If information is disclosed indicating violation of the doctrine, opponents or proponents can decide whether to request disqualification or waive their right to challenge the alleged violation. Challenges based on a suspected violation of the appearance of fairness doctrine have to be raised as soon as the basis for disqualification is made known, or reasonably should have been known, prior to the issuance of the decision, otherwise they cannot be used to invalidate the decision.

**Rule of Necessity**

**RCW 42.36.090** – In the event of a challenge to a member or members of a decision-making body which would cause a lack of a quorum or would result in a failure to obtain a majority vote as required by law, any such challenged member(s) shall be permitted to fully participate in the proceeding and vote as though the challenge had not occurred, if the member or members publicly disclose the basis for disqualification prior to rendering a decision. Such participation shall not subject the decision to a challenge by reason of violation of the appearance of fairness doctrine.

If members of a decision-making body are challenged as being in violation of the doctrine so that there are not enough members to legally make a decision, the “rule of necessity” allows challenged members to participate and vote. Before voting, though, the challenged officials must publicly state why they would, or might have been, disqualified.

**Fair Hearings Have Precedence**

**RCW 42.36.110** – Nothing in this chapter prohibits challenges to local land use decisions where actual violations of an individual's right to a fair hearing can be demonstrated.

Even though some conduct might not violate the statutory provisions of the appearance of fairness doctrine, a challenge could still be made if an unfair hearing actually results. For instance, although RCW 42.36.040 permits candidates to express opinions on pending quasi-judicial matters, if opinion statements made during a campaign reflect an intractable attitude or bias that continues into the post-election hearing process, a court might determine that the right to a fair hearing has been impaired, even if no statutes were violated.

*The safest approach: avoid any appearance of partiality or bias.*
Because it is often difficult to sort out the many functions of local decision-making bodies, a clear line cannot always be drawn between judicial, legislative, and administrative functions. If the proceedings seem similar to judicial proceedings then they probably warrant the special protections called for by the appearance of fairness doctrine.

21See Buell v. Bremerton, supra, in which the court determined that participation was likely to influence other members and affect their actions.
Guidelines for Avoiding Fairness Violations

Officials who participate in quasi-judicial hearings need to:

- become familiar with fair-hearing procedures;
- be aware of personal and employment situations that might form the basis for a challenge;
- strive to preserve an atmosphere of fairness and impartiality – even if a given decision may seem to be a foregone conclusion;
- evaluate whether a financial interest or bias would limit ability to function as an impartial decision-maker;
- make sure decisions are made solely on the basis of matters of record;
- make sure that ex parte contacts are avoided; and
- make sure the information about the contact is placed on the record, if ex parte contacts occur.

One method of ensuring fair hearings is to adopt policies and rules for quasi-judicial matters. Some municipalities have adopted rules requiring that a decision maker respond to questions prior to commencement of a quasi-judicial hearing. (Sample policies are contained in Appendix C.)

The Test for Fairness

Would a fair minded person in attendance at this hearing say (1) that everyone was heard who should have been heard, and (2) that the decision-maker was impartial and free from outside influences?

Officials Who Are Subject to the Doctrine

The doctrine applies to all local decision-making bodies including:
• members of governing board or council;

• hearing examiners;

• planning commissions;

• boards of adjustment;

• civil service boards; and

• any other body that determines the legal rights, duties or privileges of specific parties in a hearing or other contested case proceeding.

**Officials and Employees Who Are Not Subject to the Doctrine**

Department heads, planning department staff, and other municipal officials who don't conduct hearings or engage in quasi-judicial decision-making functions are not subject to the doctrine. *(Although exempt from the doctrine's ex parte contact prohibition, they might still be subject to its other requirements to make sure that all hearings are fair. RCW 42.36.110.)*

**Actions That Are Exempt from the Doctrine**

Purely legislative matters, such as:

• the adoption, amendment, or revision of a comprehensive, community, or neighborhood plan;

• adoption of area-wide zoning ordinances; and

• adoption of zoning amendments of area-wide significance.

**Remedy for Violation of the Doctrine**

A decision-maker who has had *ex parte* contacts is allowed, by statute, to cure the violation by publicly stating the nature and substance of the contact on the record of the hearing and by advising the parties of any *ex parte* contact and giving each party a chance to respond *at each* subsequent hearing at which the matter is considered.

The statutory doctrine requires a suspected violation to be raised at the time of the hearing, otherwise any objection will be considered waived. However, if there is no opportunity for the parties to respond to the disclosure of the contact, then the violation can't be cured, and the decision-maker should disqualify him or herself from the rest of the proceedings.

A disqualified decision-maker may not vote and, perhaps more importantly, *may not participate in the hearing and deliberation process, even if not voting.*
If a violation is proved, the challenged decision will be invalidated. A new hearing must be conducted without the participation of the disqualified decision-maker. Because the result of conducting a new hearing is often eventual reinstatement of the original decision, the practical result of an invalidation is often tremendous delay and duplicative work for all the parties.
Commonly Asked Questions

How does a local government decide whether a matter is quasi-judicial?

Quasi-judicial actions are defined by state statute to be: “...those actions of the legislative body, planning commission, hearing examiner, zoning adjuster, board of adjustment, or boards which determine the legal rights, duties, or privileges of specific parties in a hearing or other contested case proceeding.” RCW 42.36.010.

Which land use matters are legislative actions?

Legislative actions include adoption, amendment, or revision of comprehensive, community, or neighborhood plans or other land use planning documents, or adoption of zoning ordinances or amendments that are of area-wide significance. See RCW 42.36.010.

What is an ex parte communication?

An ex parte communication is a one-sided discussion between a decision-maker and the proponent or opponent of a particular proposal that takes place outside of the formal hearing process on a quasi-judicial matter. No member of a decision-making body is allowed to engage in ex parte communication when quasi-judicial matters are pending.

How is it determined whether a matter is pending?

“Pending” means after the time the initial application is filed or after the time an appeal is filed with the local government. Thus, if a matter would come before the decision-maker only by appeal from a decision by the hearing examiner or planning commission, it is not considered pending with respect to councilmembers or until an appeal is filed. It would, however, be pending with respect to the hearing examiner or planning commissioners.

Is a council hearing on the adoption of an area-wide zoning ordinance subject to the appearance of fairness doctrine?

No. Even though it requires a public hearing and affects individual landowners, this type of proceeding is legislative rather than adjudicatory or quasi-judicial.
Is a rezone hearing subject to the doctrine?

Yes. The decision to change the zoning of particular parcels of property is adjudicatory and the appearance of fairness doctrine applies. (See Leonard v. City of Bothell, 87 Wn. 2d 847, 557 P.2d 1306 (1976).

Is an annexation subject to the appearance of fairness doctrine?

No. An annexation is a legislative action and not a quasi-judicial action.

Does the appearance of fairness doctrine apply to preliminary plat approval?

Yes, preliminary plat approval is quasi-judicial in nature and must be preceded by a public hearing. Therefore, it is subject to the doctrine of appearance of fairness. See Swift v. Island County, 87 Wn.2d 348, 552 P.2d 175 (1976).

Does the appearance of fairness doctrine apply to a final plat approval?

A public hearing is not required for final plat approval. The doctrine only applies to quasi-judicial land use matters for which a hearing is required by law.

Does the doctrine apply to street vacations?

No. Even though a hearing is held, this is a legislative policy decision, not an adjudicatory matter.

Which local officials are subject to the doctrine?

According to RCW 42.36.010, council members, planning commission members, board of adjustment members, hearing examiners, zoning adjusters, or members of boards participating in quasi-judicial hearings that determine the legal rights, duties, or privileges of specific parties in a hearing or other contested case proceeding” are all subject to the doctrine.

Are any local government officials or employees exempt from the appearance of fairness rule?

Even though required to make decisions on the merits of a particular case, department heads and staff persons are not subject to the appearance of fairness rules.
If a decision-maker announces before the hearing has even been held that her/his mind is already made up on a matter, what should be done?

The member should disqualify her/himself. (See *Chrobuck v. Snohomish County*, 78 Wn.2d 858, 480 P.2d 489 (1971).

May a decision-maker meet with a constituent on matters of interest to the constituent?

Yes, as long as there is no discussion of quasi-judicial matters pending before the council. See RCW 42.36.020; *West Main Associates v. City of Bellevue*, 49 Wn.App 513, 742 P.2d 1266 (1987).

May the city council and planning commission meet jointly to consider a presentation by a developer?

If no specific application has been filed by the developer, the council probably may meet jointly with the planning commission to consider a proposal by a developer. The appearance of fairness doctrine has been held by the courts to apply only to situations arising during the pendency of an action. If no application has been filed, no action is pending before the city. But if a formal application for a rezone has been filed, a joint meeting would probably violate the doctrine.

May councilmembers meet with a developer prior to an application for a project?

Yes, if no application has been filed. A member of a decision-making body is not allowed to engage in ex parte communications with opponents or proponents of a proposal during the pendency of a quasi-judicial proceeding unless certain statutory conditions are met. In *West Main Associates v. Bellevue*, 49 Wn. App. 513, 742 P.2d 1266 (1987), the court indicated that ex parte communications were not prohibited until an actual appeal has been filed with the city council relating to a quasi-judicial matter.

May decision-makers discuss a quasi-judicial matter outside of council chambers?

If a situation occurs in which communication with a decision-maker occurs outside of the local government’s hearing process, the decision-maker should place the substance of the written or oral communication on the record, make a public announcement of the content of the communication, and allow persons to rebut the substance of the communication. Failure to follow these steps could result in an overturning of the decision, should it ever be challenged in court.
Is there an appearance of fairness problem if a planning commission member owns property within an area proposed for rezone?

It would violate the appearance of fairness doctrine if a planning commission member who owns property in the area to be rezoned participates in the hearing and/or votes. In the leading case on this issue, *Buell v. Bremerton*, 80 Wn.2d 518, 495 P.2d 1358 (1972), a planning commissioner owned property adjacent to an area to be rezoned. The court determined that the commissioner's self-interest was sufficient to invalidate the entire proceeding.

May a planning commission member who has disqualified himself on a rezone action, discuss the application with other planning commission members?

A planning commission member who has disqualified himself on a specific action should not attempt to discuss the application with other planning commission members either inside or outside of the hearing process. See *Hayden v. Port Townsend*, 28 Wn. App. 192, 622 P.2d 1291 (1981).

If a councilmember has disqualified herself from participation in a council hearing because she is an applicant in a land use matter, may she argue her own application in writing before the council?

Our courts have ruled that once a member relinquishes his or her position for purposes of the doctrine, he or she should not participate in the hearing. A disqualified decision-maker should not join the hearing audience, act on behalf of an applicant, or interact in any manner with the other members. See *Hayden v. Port Townsend*, 28 Wn. App. 192, 622 P.2d 1291 (1981).

May a relative of a decision-maker, who is also a developer, act as an agent for that decision-maker in presenting the proposal to council?

Yes, a relative would be allowed to act as the agent in these circumstances.

May the spouse of a disqualified decision-maker testify at the quasi-judicial hearing?

If the decision-maker disqualifies him or herself on a quasi-judicial issue coming before the council, his/her spouse may testify as long as the councilmember leaves the room and does not attempt to vote or participate in the deliberations.

May a decision-maker vote on a legislative issue if her husband is a planner for the local government and the issue could indirectly affect his work?

Yes. If the vote is on a legislative matter, then the appearance of fairness doctrine does not apply.
May a city staff person present a development proposal to the planning commission and city council on behalf of a developer who is also a city councilmember?

The staff member can present a report and recommendation to the council or planning commission on behalf of the city. It is not appropriate for city staff to present both the city and the developer's position.

In a situation in which the chairman of the planning commission is a realtor and represents a client wishing to purchase property in an area of the city that is being considered for a rezone, may the chairman participate in the hearing and vote on the rezone application?

The fact that the chairman is a realtor does not in itself disqualify him from participation in rezone hearings. However, his representation of a client wanting to purchase property in the area being considered for a rezone constitutes sufficient reason for disqualification from participation.

Will a violation of the appearance of fairness doctrine invalidate a decision, even if the vote of the “offender” was not necessary to the decision?

Yes. Our courts have held that it is immaterial whether the vote of the offender was or was not necessary to the decision.

Are contacts between a decision-maker and city staff members considered to be ex parte contacts prohibited by the appearance of fairness doctrine?

The role of a local government department is to create a neutral report on a proposal and issue a recommendation to grant or deny a proposal that is subject to further appeal or approval. Contacts with staff would only be prohibited if the department involved is a party to quasi-judicial action before the council or board.

May a councilmember participate in a vote on leasing city property to an acquaintance?

Because the lease of city property is not a quasi-judicial matter and does not involve a public hearing, the appearance of fairness doctrine does not apply. (Note: There could be a potential conflict of interest question if the councilmember is likely to reap financial gain from the lease arrangements.)
May a councilmember who is running for mayor state opinions during the campaign regarding quasi-judicial matters that are pending before the council and that will be decided before the election?

RCW 42.36.040 provides that “expression of an opinion by a person subsequently elected to a public office, on any pending or proposed quasi-judicial actions” is not a violation of the appearance of fairness doctrine. However, this statute has never been interpreted by any appellate court, and it is unclear how it applies to an incumbent councilmember who might speak during his or her campaign (for mayor in this case) concerning a quasi-judicial matter that will be decided by the current council before the upcoming election. It would be best for the councilmember running for mayor not to speak on the pending matter. To do so could compromise the fairness of the hearing on the matter. RCW 42.36.110 operates to protect the right to a fair hearing despite compliance with other requirements of chapter 42.36 RCW. Although RCW 42.36.040 clearly allows non-incumbents running for office to speak on such a matter, the rights of the parties to a fair hearing might outweigh the right of an incumbent to speak out.

A councilmember who is also chair of the local housing authority would like to participate in a hearing at which the council is asked to review a proposed low-income housing project. If she can't participate as a councilmember, can she make her views known as a private citizen?

Because the council will be meeting as a quasi-judicial body, the appearance of fairness doctrine is implicated. Consequently, the councilmember should not only refrain from participation and voting on the issue but should also physically leave the room when the remaining councilmembers discuss the matter. This removes any potential claim that the councilmember has attempted to exert undue influence over the other councilmembers.

If a councilmember is disqualified from participation on appearance of fairness grounds and discusses the issue with another councilmember, may the second councilmember still participate and vote?

If the first councilmember is disqualified, then any discussion between the disqualified member and the other councilmember could be construed as an ex parte communication. If the content of the conversation is placed on the record according to the requirements of RCW 42.36.060, the other member could probably participate.

May a councilmember attend a planning commission hearing on a quasi-judicial matter?

Although RCW 42.36.070 provides that participation by a member of a decision-making body in an earlier proceeding that results in an advisory recommendation to a decision-making body does not disqualify that person from participating in any subsequent quasi-judicial proceeding, such participation could potentially affect the applicant's right to a fair hearing. RCW 42.36.110 provides:
Nothing in this chapter prohibits challenges to local land use decisions where actual violation of an individuals' right to a fair hearing can be demonstrated.

Out of perhaps an excess of caution, this office generally recommends that city councilmembers not attend planning commission hearings on quasi-judicial matters because it is possible that their attendance might give rise to a challenge based on the appearance of fairness doctrine. We are not aware of any court decisions in which such a challenge has been adjudicated.

**Can a candidate for municipal office accept campaign contributions from someone who has a matter pending before the council?**

Yes. Candidates may receive campaign contributions without violating the doctrine. RCW 42.36.050; *Improvement Alliance v. Snohomish Co.*, 61 Wn.App. 64, 808 P.2d 781 (1991). However, contributions must be reported as required by public disclosure law. Chapter 42.17 RCW.

**Aren't elected officials supposed to be able to interact with their constituents?**

Absolutely. Accountability is a fundamental value in our representative democracy and requires public officials to be available to interact with their constituents. The statute addresses this by limiting the doctrine to quasi-judicial actions and excluding legislative actions.

**Can a quorum be lost through disqualification of members under the appearance of fairness doctrine?**

No. If a challenge to a member, or members of a decision-making body would prevent a vote from occurring, then the challenged member or members may participate and vote in the proceedings provided that they first disclose the basis for what would have been their disqualification. This is known as the “doctrine of necessity” and is codified in RCW 42.36.090.

**What should a decision-maker do if an appearance of fairness challenge is raised?**

The challenged decision-maker should either refrain from participation or explain why the basis for the challenge does not require him or her to refrain.

**Are there any limitations on raising an appearance of fairness challenge?**

Yes. Any claim of a violation must be made “as soon as the basis for disqualification is made known to the individual.” If the violation is not raised when it becomes known, or when it reasonably should have been known, the doctrine cannot be used to invalidate the decision. RCW 42.36.080.
If a violation is proved, what is the remedy?

The remedy for an appearance of fairness violation is to invalidate the local land use regulatory action. The result is that the matter will need to be reheard. Damages, however, cannot be imposed for a violation of the doctrine. See *Alger v. City of Mukilteo*, 107 Wn. 2d 541, 730 P.2d 1333 (1987).

Does the appearance of fairness doctrine prohibit a decision-maker from reviewing and considering written correspondence regarding matters to be decided in a quasi-judicial proceeding?

No. Decision-makers can accept written correspondence from anyone *provided* the correspondence is disclosed and made part of the record of the quasi-judicial proceeding. RCW 42.36.060.

What local government department oversees application of the appearance of fairness doctrine?

No person or body has the authority to oversee application of the appearance of fairness doctrine to members of a decision-making body. It is up to the individual members to determine whether the doctrine applies to them in a particular situation and to disqualify themselves if it does. Some local governing bodies have established rules that allow the votes of the membership to disqualify a member in the event of an appearance of fairness challenge. A governing body probably has the authority to establish such a rule based upon its statutory authority to establish rules of conduct.
Appendix A
Chapter 42.36 RCW

Laws/Statutes Designed to Promote Fairness and Openness in Government

- Chapter 42.17 RCW – PUBLIC DISCLOSURE ACT
- Chapter 42.30 RCW – OPEN PUBLIC MEETINGS ACT
- Chapter 42.36 RCW – APPEARANCE OF FAIRNESS DOCTRINE - LIMITATIONS
  (Full Text Follows)
Chapter 42.36 RCW
APPEARANCE OF FAIRNESS DOCTRINE – LIMITATIONS

RCW 42.36.010
Local land use decisions.

Application of the appearance of fairness doctrine to local land use decisions shall be limited to the quasi-judicial actions of local decision-making bodies as defined in this section. Quasi-judicial actions of local decision-making bodies are those actions of the legislative body, planning commission, hearing examiner, zoning adjuster, board of adjustment, or boards which determine the legal rights, duties, or privileges of specific parties in a hearing or other contested case proceeding. Quasi-judicial actions do not include the legislative actions adopting, amending, or revising comprehensive, community, or neighborhood plans or other land use planning documents or the adoption of area-wide zoning ordinances or the adoption of a zoning amendment that is of area-wide significance.

RCW 42.36.020
Members of local decision-making bodies.

No member of a local decision-making body may be disqualified by the appearance of fairness doctrine for conducting the business of his or her office with any constituent on any matter other than a quasi-judicial action then pending before the local legislative body.

RCW 42.36.030
Legislative action of local executive or legislative officials.

No legislative action taken by a local legislative body, its members, or local executive officials shall be invalidated by an application of the appearance of fairness doctrine.

RCW 42.36.040
Public discussion by candidate for public office.

Prior to declaring as a candidate for public office or while campaigning for public office as defined by RCW 42.17.020(5) and (25) no public discussion or expression of an opinion by a person subsequently elected to a public office, on any pending or proposed quasi-judicial actions, shall be a violation of the appearance of fairness doctrine.

RCW 42.36.050
Campaign contributions.

A candidate for public office who complies with all provisions of applicable public disclosure and ethics laws shall not be limited from accepting campaign contributions to finance the campaign, including outstanding debts; nor shall it be a violation of the appearance of fairness doctrine to accept such campaign contributions.
RCW 42.36.060
Quasi-judicial proceedings – Ex parte communications prohibited, exceptions.

During the pendency of any quasi-judicial proceeding, no member of a decision-making body may engage in ex parte communications with opponents or proponents with respect to the proposal which is the subject of the proceeding unless that person:

(1) Places on the record the substance of any written or oral ex parte communications concerning the decision of action; and

(2) Provides that a public announcement of the content of the communication and of the parties’ rights to rebut the substance of the communication shall be made at each hearing where action is considered or taken on the subject to which the communication related. This prohibition does not preclude a member of a decision-making body from seeking in a public hearing specific information or data from such parties relative to the decision if both the request and the results are a part of the record. Nor does such prohibition preclude correspondence between a citizen and his or her elected official if any such correspondence is made a part of the record when it pertains to the subject matter of a quasi-judicial proceeding.

RCW 42.36.070
Quasi-judicial proceedings - Prior advisory proceedings.

Participation by a member of a decision-making body in earlier proceedings that result in an advisory recommendation to a decision-making body shall not disqualify that person from participating in any subsequent quasi-judicial proceeding.

RCW 42.36.080
Disqualification based on doctrine - Time limitation for raising challenge.

Anyone seeking to rely on the appearance of fairness doctrine to disqualify a member of a decision-making body from participating in a decision must raise the challenge as soon as the basis for disqualification is made known to the individual. Where the basis is known or should reasonably have been known prior to the issuance of a decision and is not raised, it may not be relied on to invalidate the decision.

RCW 42.36.090
Participation of challenged member of decision-making body.

In the event of a challenge to a member or members of a decision-making body which would cause a lack of a quorum or would result in a failure to obtain a majority vote as required by law, any such challenged member(s) shall be permitted to fully participate in the proceeding and vote as though the challenge had not occurred, if the member or members publicly disclose the basis for disqualification prior to rendering a decision. Such participation shall not subject the decision to a challenge by reason of violation of the appearance of fairness doctrine.
RCW 42.36.100  
Judicial restriction of doctrine not prohibited - Construction of chapter.

Nothing in this chapter prohibits the restriction or elimination of the appearance of fairness doctrine by the appellate courts. Nothing in this chapter may be construed to expand the appearance of fairness doctrine.

RCW 42.36.110  
Right to fair hearing not impaired.

Nothing in this chapter prohibits challenges to local land use decisions where actual violations of an individual's right to a fair hearing can be demonstrated.
Appendix B

Summary of Washington Appearance of Fairness Doctrine Cases
## Summary of Washington Appearance of Fairness Doctrine Cases

<table>
<thead>
<tr>
<th>Case</th>
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<tr>
<td>Smith v. Skagit County, 75 Wn.2d 715, 453 P.2d 832 (1969)</td>
<td>Planning Commission/Rezone</td>
<td>Planning commission met with proponents and excluded opponents in executive session.</td>
<td>Violation of appearance of fairness doctrine. Amendments to zoning ordinance to create an industrial zone were void - cause remanded to the superior court for entry of such a decree.</td>
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<tr>
<td>State ex. rel. Beam v. Fulwiler, 76 Wn.2d 313, 456 P.2d 322 (1969)</td>
<td>Civil Service Commission/Appeal from discharge of civil service employee (chief examiner of commission)</td>
<td>Challenge to hearing tribunal composed of individuals who investigated, accused, prosecuted, and would judge the controversy involved.</td>
<td>An appellate proceeding before the commission would make the same persons both prosecutor and judge and the tribunal must, therefore, be disqualified. A fair and impartial hearing before an unbiased tribunal is elemental to the concepts of fundamental fairness inherent in administrative due process.</td>
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<td>Chrobuck v. Snohomish County, 78 Wn.2d 858, 480 P.2d 489 (1971)</td>
<td>Planning Commission - Board of County Commissioners/Comprehensive plan amendment and rezone</td>
<td>Chairman of planning commission and chairman of county commissioners visited Los Angeles with expenses paid by petitioner. Chairman of county commissioners announced favorable inclination prior to hearing. New planning commission member previously testified on behalf of petitioner and signed advertisement to that effect, then participated to some extent at commission hearings but disqualified himself from voting.</td>
<td>Violation of appearance of fairness doctrine. Rezone set aside - land returned to original designation. Planning commission functions as an administrative or quasi-judicial body. Note: Cross-examination may be required if both parties have attorneys.</td>
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<tr>
<td>Buell v. Bremerton, 80 Wn.2d 518, 495 P.2d 1358 (1972)</td>
<td>Planning Commission/Rezone</td>
<td>Chairman of planning commission owned property adjoining property to be rezoned. Property could have been indirectly affected in value.</td>
<td>Violation of appearance of fairness doctrine. Overrules Chestnut Hill Co. v. Snohomish County. Action by city council rezoning property on planning commission recommendation improper.</td>
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<tr>
<td>Anderson v. Island County, 81 Wn.2d 312, 501 P.2d 594 (1972)</td>
<td>Board of County Commissioners/Rezone</td>
<td>Chairman of county commission was former owner of applicant's company. Chairman told opponents at public hearing they were wasting their time talking.</td>
<td>Violation of appearance of fairness doctrine. Reversed and remanded for further proceedings.</td>
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<tr>
<td>Narrowsview Preservation Association v. Tacoma, 84 Wn.2d 416, 526 P.2d 897 (1974)</td>
<td>Planning Commission/Rezone</td>
<td>Member of planning commission was a loan officer of bank which held mortgage on property of applicant. Member had no knowledge his employer held the mortgage on the property.</td>
<td>Appearance of fairness doctrine violation; thus zoning ordinance invalid. Court also held, however, acquaintances with persons or casual business dealings insufficient to constitute violation of doctrine.</td>
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<td><strong>Byers v. The Board of Clallam County Commissioners</strong>, 84 Wn.2d 796, 529 P.2d 823 (1974)</td>
<td>Planning Commission/Adoption of interim zoning ordinance</td>
<td>Members owned property 10-15 miles from area zoned and there was no indication that such property was benefited directly or indirectly by rezone.</td>
<td>No violation of appearance of fairness doctrine. Ordinance held invalid on other grounds.</td>
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<tr>
<td><strong>Seattle v. Loutsis Investment Co., Inc.</strong>, 16 Wn. App. 158, 554 P.2d 379 (1976)</td>
<td>City/Certiorari to review findings of public use and necessity by court in condemnation action</td>
<td>Alleged illegal copy made of a key to the condemned premises and unauthorized entries by city employees and other arbitrary conduct by city employees violated appearance of fairness doctrine.</td>
<td>Court held appearance of fairness doctrine applies only to hearings and not to administrative actions by municipal employees. Cites <em>Fleming v. Tacoma.</em></td>
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<td><strong>King County Water District No. 54 v. King County Boundary Review Board</strong>, 87 Wn.2d 536, 554 P.2d 1060 (1976)</td>
<td>Boundary Review Board/Assumption by city of water district</td>
<td>Alleged ex parte conversations between member of the board and persons associated with Seattle Water District and Water District No. 75 about the proposed assumption by city of Water District No. 54.</td>
<td>No appearance of fairness violation. Record does not indicate conversations took place and court could not conclude there was any partiality or entangling influences which would affect the board member in making the decision.</td>
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<td><strong>Swift, et al. v. Island County, et al.</strong>, 87 Wn.2d 348, 552 P.2d 175 (1976)</td>
<td>Board of County Commissioners/Overruling planning commission and approving a preliminary plat</td>
<td>A county commissioner was a stockholder and chairman of the board of a savings and loan association that had a financial interest in a portion of the property being platted.</td>
<td>Violated appearance of fairness doctrine.</td>
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<td><strong>Milwaukee R.R. v. Human Rights Commission</strong>, 87 Wn.2d 802, 557 P.2d 307 (1976)</td>
<td>State Human Rights Commission Special Hearing Tribunal/Complaint against railroad for alleged discrimination</td>
<td>Member of hearing tribunal had applied for a job with the commission.</td>
<td>The board's determination held invalid because it had appearance of unfairness.</td>
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<tr>
<td><strong>Fleck v. King County</strong>, 16 Wn. App. 668, 558 P.2d 254 (1977)</td>
<td>Administrative Appeals Board/permit to install fuel tank</td>
<td>Two members of the board were husband and wife.</td>
<td>Fact that two members of board were husband and wife created appearance of fairness problem.</td>
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<tr>
<td><strong>SAVE (Save a Valuable Environment) v. Bothell</strong>, 89 Wn.2d 862, 576 P.2d 401 (1978)</td>
<td>Bothell Planning Commission/Rezone</td>
<td>Planning commission members were executive director and a member of the board of directors, respectively, of the chamber of commerce which actively promoted the rezone.</td>
<td>Violation of appearance of fairness. Trial court found that the proposed shopping center, which would be accommodated by the rezone, would financially benefit most of the chamber of commerce members and their support was crucial to the success of the application. The planning commission members' associational ties were sufficient to require application of the doctrine.</td>
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<td><em>Polygon v. Seattle</em>, 90 Wn.2d 59, 578 P.2d 1309 (1978)</td>
<td>City of Seattle, Superintendent of Buildings/Application for building permit denied</td>
<td>Announced opposition to the project by the mayor, and a statement allegedly made by the superintendent, prior to the denial, that because of the mayor's opposition, he would announce that the permit application would be denied.</td>
<td>The appearance of fairness doctrine does not apply to administrative action, except where a public hearing is required by law. The applicable fairness standard for discretionary administrative action is actual partiality precluding fair consideration.</td>
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<tr>
<td><em>Hill v. Dept. L &amp; I</em>, 90 Wn.2d 276, 580 P.2d 636 (1978)</td>
<td>Board of Industrial Insurance Appeals/Appeal by industrial insurance claimant</td>
<td>The chairman of the appeals board had been supervisor of industrial insurance at the time the claim had been closed.</td>
<td>No violation of appearance of fairness doctrine. The chairman submitted his uncontroverted affidavit establishing lack of previous participation or knowledge of the case.</td>
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<tr>
<td><em>City of Bellevue v. King County Boundary Review Board</em>, 90 Wn.2d 856, 586 P.2d 470 (1978)</td>
<td>Boundary Review Board/Approval of annexation proposal</td>
<td>Use of interrogatories on appeal to superior court to prove bias of board members.</td>
<td>Holding that the use of such extra-record evidence was permissible under the specific circumstances present, the majority opinion observed: &quot;Our appearance of fairness doctrine, though relating to concerns dealing with due process considerations, is not constitutionally based ....&quot;</td>
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<tr>
<td><em>Evergreen School District v. School District Organization</em>, 27 Wn. App. 826, 621 P.2d 770 (1980)</td>
<td>County Committee on School District Organization/Adjustment of school district boundaries</td>
<td>Member of school district board that opposed transfer of property to the proponent school district participated as a member of the county committee on school district organization.</td>
<td>Decision to adjust school district boundaries is a discretionary, quasi-legislative determination to which the appearance of fairness doctrine does not apply.</td>
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<tr>
<td><em>Hayden v. Port Townsend</em>, 28 Wn. App. 192, 622 P.2d 1291 (1981)</td>
<td>Planning Commission/Rezone</td>
<td>Planning commission chairman, who was also branch manager of S &amp; L that had an option to purchase the site in question, stepped down as chairman but participated in the hearing as an advocate of the rezone.</td>
<td>Participation of planning commission chairman as advocate of rezone violated appearance of fairness doctrine.</td>
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<tr>
<td><em>Somer v. Woodhouse</em>, 28 Wn. App. 262, 623 P.2d 1164 (1981)</td>
<td>Department of Licensing/Adoption of administrative rule</td>
<td>During two rules hearings, the Director of the Department of Licensing sat at the head table with the representatives of an organization that was a party to the controversy, some of whom argued for adoption of the rule proposed by the department. The minutes of the rules hearings also bore the name of the same organization.</td>
<td>The appearance of fairness doctrine is generally not applicable to a quasi-legislative administrative action involving rule-making.</td>
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<td>Westside Hilltop Survival Committee v. King County, 96 Wn.2d 171, 634 P.2d 862 (1981)</td>
<td>County Council/Comprehensive plan amendment</td>
<td>Prior to modification of the comprehensive plan, there were ex parte contacts between one or two councilmembers and officials of the proponent corporation, and two councilmembers had accepted campaign contributions in excess of $700 from employees of the proponent corporation. These councilmembers actively participated in, and voted for, adoption of the ordinance modifying the comprehensive plan to allow construction of an office building on a site previously designated as park and open space.</td>
<td>Comprehensive plans are advisory only, and a local legislative body's action to determine the contents of such a plan is legislative rather than adjudicatory. Legislative action in land use matters is reviewed under the arbitrary and capricious standard and is not subject to the appearance of fairness doctrine.</td>
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<tr>
<td>Hoquiam v. PERC, 97 Wn.2d 481, 646 P.2d 129 (1982)</td>
<td>Public Employment Relations Commission (PERC)/Unfair labor practice complaint</td>
<td>Member of PERC was partner in law firm representing union.</td>
<td>Law firm's representation of the union did not violate the appearance of fairness doctrine where commissioner, who was a partner in the law firm representing the union, disqualified herself from all participation in the proceedings.</td>
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<tr>
<td>Dorsten v. Port of Skagit County, 32 Wn. App. 785, 650 P.2d 220 (1982)</td>
<td>Port Commission/Increase of moorage charges at public marina</td>
<td>Alleged prejudgment bias of commissioner who was an owner or part owner of a private marina in competition with the port's marina.</td>
<td>The port's decision was legislative rather than judicial and the appearance of fairness doctrine did not apply.</td>
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<tr>
<td>Harris v. Hornbaker, 98 Wn.2d 650, 658 P.2d 1219 (1983)</td>
<td>Board of County Commissioners/Board's determination of a freeway interchange - adoption of six-year road plan</td>
<td>Alleged prejudgment bias of certain county commissioners.</td>
<td>Deciding where to locate a freeway interchange is a legislative rather than an adjudicatory decision, the appearance of fairness doctrine does not apply.</td>
</tr>
<tr>
<td>Medical Disciplinary Board v. Johnston, 99 Wn.2d 466, 663 P.2d 457 (1983)</td>
<td>Medical Disciplinary Board/Revocation of medical license</td>
<td>Challenge to the same tribunal combining investigative and adjudicative functions, and the practice of assigning a single assistant attorney general as both the board's legal advisor and prosecutor.</td>
<td>The appearance of fairness doctrine is not necessarily violated in such cases. The facts and circumstances in each case must be evaluated to determine whether a reasonably prudent disinterested observer would view the proceeding as a fair, impartial, and neutral hearing and, unless shown otherwise, it must be presumed that the board members performed their duties properly and legally. (In a concurring opinion, Justices Utter, Dolliver, and Dimmick asserted that the majority's analysis of the appearance of fairness doctrine merely reiterates the requirements of due process and thereby causes unnecessary confusion.) (In a dissenting opinion, Justices Rosellini and Dore argued that the combination of investigative, prosecutorial, and adjudicative functions within the same tribunal constitutes an appearance of fairness violation.)</td>
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<td><em>Side v. Cheney</em>, 37 Wn. App. 199, 679 P.2d 403 (1984)</td>
<td>Mayor/Promotion of police officer to sergeant</td>
<td>Mayor passed over first-listed officer on civil service promotion list who had also filed for election for position of mayor.</td>
<td>Appearance of fairness doctrine does not apply to mayor who did not act in role comparable to judicial officer. Mayor's promotion decision was not a quasi-judicial decision.</td>
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<tr>
<td><em>Zehring v. Bellevue</em>, 103 Wn.2d 588, 694 P.2d 638 (1985)</td>
<td>Planning Commission/Design review</td>
<td>Member of commission committed himself to purchase stock in proponent corporation before hearing held in which commission denied reconsideration of its approval of building design.</td>
<td>Appearance of fairness doctrine does not apply to design review. Doctrine only applies where a public hearing is required and no public hearing is required for design review. Court vacates its decision in earlier case (<em>Zehring v. Bellevue</em>, 99 Wn.2d 488 (1983), where it held doctrine had been violated.)</td>
</tr>
<tr>
<td><em>West Main Associates v. Bellevue</em>, 49 Wn. App. 513, 742 P.2d 1266 (1987)</td>
<td>City Council/Denial of application for design approval</td>
<td>Councilmember attended meeting held by project opponents and had conversation with people at meeting, prior to planning director's decision and opponent's appeal of that decision to council.</td>
<td>Appearance of fairness doctrine prohibits ex parte communications between public, quasi-judicial decision-makers only where communication occurs while quasi-judicial proceeding is pending. Since communication at issue occurred one month prior to appeal of planning director's decision to the council, it did not occur during the pendency of the quasi-judicial proceeding and doctrine was thus not violated.</td>
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<tr>
<td><em>Snohomish County Improvement Alliance v. Snohomish County</em>, 61 Wn. App. 64, 808 P.2d 781 (1991)</td>
<td>County Council/Denial of application for rezone approval</td>
<td>Two councilmembers received campaign contributions during pendency of appeal.</td>
<td>Contributions were fully disclosed. The contributions were not ex parte communications as there was no exchange of ideas. RCW 42.36.050 provides that doctrine is not violated by acceptance of contribution.</td>
</tr>
<tr>
<td><em>Raynes v. Leavenworth</em>, 118 Wn.2d 237, 821 P.2d 1204 (1992)</td>
<td>City Council/Amendment of zoning code</td>
<td>Councilmember was real estate agent for broker involved in sale of property to person who was seeking amendment of zoning code. Councilmember participated in council's consideration of proposed amendment.</td>
<td>Text amendment was of area-wide significance. Council action thus was legislative, rather than quasi-judicial. Appearance of fairness doctrine does not apply to legislative action. Limits holding of <em>Fleming v. Tacoma</em>, 81 Wn.2d 292, 502 P.2d 327 (1972) through application of statutory appearance of fairness doctrine (RCW 42.36.010), which restricts types of decisions classed as quasi-judicial.</td>
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<tr>
<td><em>Trepanier v. Everett</em>, 64 Wn. App. 380, 824 P.2d 524 (1992)</td>
<td>City Council/ Determination that environmental impact statement not required for proposed zoning ordinance</td>
<td>City both proposed new zoning code and acted as lead agency for SEPA purposes in issuing determination of nonsignificance (DNS).</td>
<td>Person who drafted new code was different from person who carried out SEPA review. In addition, there was no showing of bias, or circumstances from which bias could be presumed, in council's consideration of legislation proposed by executive.</td>
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<tr>
<td><em>State v. Post</em>, 118 Wn.2d 596, 837 P.2d 599 (1992)</td>
<td>Community Corrections Officer/Preparation of presentence report</td>
<td>Presentence (probation) officer is an agent of the judiciary; that officer's alleged bias is imparted to judge.</td>
<td>Probation officer is not the decisionmaker at sentencing hearing; judge is. Appearance of fairness does not apply to probation officer. In addition, no actual or potential bias shown.</td>
</tr>
<tr>
<td><em>Jones v. King Co.</em>, 74 Wn. App. 467, <em><strong>P.2d</strong></em> (1994)</td>
<td>County Council/Area-wide rezone</td>
<td>Action has a high impact on a few people; therefore, it should be subject to appearance of fairness doctrine.</td>
<td>Area-wide rezoning constitutes legislative, rather than quasi-judicial action under RCW 42.36.010 regardless of whether decision has a high impact on a few people or whether local government permits landowners to discuss their specific properties.</td>
</tr>
<tr>
<td><em>Lake Forest Park v. State</em>, 76 Wn. App. 212, <em><strong>P.2d</strong></em> (1994)</td>
<td>Shorelines Hearings Board/Shoreline substantial development permit</td>
<td>Reconsideration of the record allegedly prejudiced the SHB against the city.</td>
<td>When acting in a quasi-judicial capacity, judicial officers must be free of any hint of bias. However, a party claiming an appearance of fairness violation cannot indulge in mere speculation, but must present specific evidence of personal or pecuniary interest.</td>
</tr>
<tr>
<td><em>Bjarnson v. Kitsap Co.</em>, 78 Wn. App. 840 (1995)</td>
<td>County Commissioner/ Rezone and planned unit development</td>
<td>Member of decision-making body had <em>ex parte</em> communications during pendency of rezone.</td>
<td>Improper conduct of member was cured if remaining members of board conduct a rehearing and there is no question of bias or the appearance of bias of remaining members.</td>
</tr>
<tr>
<td><em>Opal v. Adams Co.</em>, 128 Wn.2d 869 (1996)</td>
<td>County Commissioner/ Adequacy of environmental impact statement for unclassified use permit for regional landfill</td>
<td>Member of decision-making body had numerous <em>ex parte</em> contact with proponents of project during pendency of application.</td>
<td>While <em>ex parte</em> contacts are improper unless disclosed, any violation of the Appearance of Fairness Doctrine was harmless since the purpose of disclosure is to allow opponents to rebut, and this was fully addressed by opponents in the public hearings.</td>
</tr>
</tbody>
</table>

**Notes:**
Adapted from a chart originally prepared by Lee Kraft, former City Attorney of Bellevue. Court decisions may have rested on grounds other than appearance of fairness doctrine alone.
Appendix C

Sample Council Meeting Procedures for Quasi-Judicial Meetings
Appearance of Fairness Doctrine

Why can’t County Council members talk to constituents about local land use issues (except in a formal public hearing)?

The appearance of fairness doctrine restricts county council members from discussing the merits of certain types of land use matters that will or could be heard by the council on appeal from the county Hearing Examiner.

In hearing such land use appeals, the county council acts in a quasi-judicial capacity, that is like a court, and the council is therefore required to follow certain Constitutional due-process rules. Specifically, the courts have ruled that discussions about a pending case should occur only at a formal public hearing where all interested parties have an equal opportunity to participate.

Citizens, however, are welcome to discuss any issue with the county council’s staff. Please call 425-388-3494.
City of Poulsbo Council Rules of Procedure

5.3 **VOTES ON MOTIONS:** Each member present shall vote on all questions put to the Council except on matters in which he or she has been disqualified for a conflict of interest or under the appearance of fairness doctrine. Such member shall disqualify himself or herself prior to any discussion of the matter and shall leave the Council Chambers. When disqualification of a member or members results or would result in the inability of the Council at a subsequent meeting to act on a matter on which it is required by law to take action, any member who was absent or who had been disqualified under the appearance of fairness doctrine may subsequently participate, provided such member first shall have reviewed all materials and listened to all tapes of the proceedings in which the member did not participate.

6.2 **CONFLICT OF INTEREST/APPEARANCE OF FAIRNESS**
Prior to the start of a public hearing the Chair will ask if any Councilmember has a conflict of interest or Appearance of Fairness Doctrine concern which could prohibit the Councilmember from participating in the public hearing process. A Councilmember who refuses to step down after challenge and the advice of the City Attorney, a ruling by the Mayor or Chair and/or a request by the majority of the remaining members of the Council to step down is subject to censure. The Councilmember who has stepped down shall not participate in the Council decision nor vote on the matter. The Councilmember shall leave the Council Chambers while the matter is under consideration, provided, however, that nothing herein shall be interpreted to prohibit a Councilmember from stepping down in order to participate in a hearing in which the Councilmember has a direct financial or other personal interest.

7.7 **COMMENTS IN VIOLATION OF THE APPEARANCE OF FAIRNESS DOCTRINE:**
The Chair may rule out of order any comment made with respect to a quasi-judicial matter pending before the Council or its Boards or Commissions. Such comments should be made only at the hearing on a specific matter. If a hearing has been set, persons whose comments are ruled out of order will be notified of the time and place when they can appear at the public hearing on the matter and present their comments.

10.4 **DISCLOSURE, AVOIDING THE APPEARANCE OF IMPROPRIETY:** While state statutory provisions regarding the Appearance of Fairness Doctrine govern our conduct in quasi judicial matters, Councilmembers will also attempt to avoid even the appearance of impropriety in all of our actions. When we are aware of an issue that might reasonably be perceived as a conflict, and even if we are in doubt as to its relevance, we will reveal that issue for the record. We pledge that we will step down when required by the Appearance of Fairness Doctrine, that is, when an objective person at a Council meeting would have reasonable cause to believe that we could not fairly participate.
The Appearance of Fairness Doctrine in Washington State

City of Des Moines Council Rules of Procedure

APPEARANCE OF FAIRNESS DOCTRINE

RULE 15. Appearance of Fairness Doctrine and its Application.

(a) Appearance of Fairness Doctrine Defined. "When the law which calls for public hearings gives the public not only the right to attend but the right to be heard as well, the hearings must not only be fair but must appear to be so. It is a situation where appearances are quite as important as substance. The test of whether the appearance of fairness doctrine has been violated is as follows: Would a disinterested person, having been apprised of the totality of a boardmember's personal interest in a matter being acted upon, be reasonably justified in thinking that partiality may exist? If answered in the affirmative, such deliberations, and any course of conduct reached thereon, should be voided." Zehring v. Bellevue, 99 Wn.2d 488 (1983).

(b) Types of Hearings to Which Doctrine Applies. The appearance of Fairness Doctrine shall apply only to those actions of the Council which are quasi-judicial in nature. Quasi-judicial actions are defined as actions of the City Council which determine the legal rights, duties, or privileges of specific parties in a hearing or other contested proceeding. Quasi-judicial actions do not include the legislative actions adopting, amending, or revising comprehensive, community, or neighborhood plans or other land use planning documents of the adoption of areawide zoning ordinances or the adoption of a zoning amendment that is of area-wide significance.

RCW 42.36.010. Some examples of quasi-judicial actions which may come before the Council are: rezones or reclassifications of specific parcels of property, appeals from decisions of the Hearing Examiner, substantive appeals of threshold decisions under the State Environmental Protection Act, subdivisions, street vacations, and special land use permits.

(c) Obligations of Councilmembers, Procedure.

(1) Councilmembers should recognize that the Appearance of Fairness Doctrine does not require establishment of a conflict of interest, but whether there is an appearance of conflict of interest to the average person. This may involve the Councilmember or a Councilmember's business associate or a member of the Councilmember's immediate family. It could involve ex parte communications, ownership of property in the vicinity, business dealings with the proponents or opponents before or after the hearing, business dealings of the Councilmember's employer with the proponents or opponents, announced predisposition, and the like.

Prior to any quasi-judicial hearing, each Councilmember should give consideration to whether a potential violation of the Appearance of Fairness Doctrine exists. If the answer is in the affirmative, no matter how remote, the Councilmember should disclose such facts to the City Manager who will seek the opinion of the City Attorney as to whether a potential violation of the Appearance of Fairness Doctrine exists. The City Manager shall communicate such opinion to the Councilmember and to the Presiding Officer.

(2) Anyone seeking to disqualify a Councilmember from participating in a decision on the basis of a violation of the Appearance of Fairness Doctrine must raise the challenge as soon as the basis for disqualification is made known or reasonably should have been made known prior to
the issuance of the decision; upon failure to do so, the Doctrine may not be relied upon to invalidate the decision. The party seeking to disqualify the Councilmember shall state with specificity the basis for disqualification; for example: demonstrated bias or prejudice for or against a party to the proceedings, a monetary interest in outcome of the proceedings, prejudgment of the issue prior to hearing the facts on the record, or ex parte contact. Should such challenge be made prior to the hearing, the City Manager shall direct the City Attorney to interview the Councilmember and render an opinion as to the likelihood that an Appearance of Fairness violation would be sustained in superior court. Should such challenge be made in the course of a quasi-judicial hearing, the Presiding Officer shall call a recess to permit the City Attorney to make such interview and render such opinion.

(3) The presiding Officer shall have sole authority to request a Councilmember to excuse himself/herself on the basis of an Appearance of Fairness violation. Further, if two (2) or more Councilmembers believe that an Appearance of Fairness violation exists, such individuals may move to request a Councilmember to excuse himself/herself on the basis of an Appearance of Fairness violation. In arriving at this decision, the Presiding Officer or other Councilmembers shall give due regard to the opinion of the City Attorney.

(4) Notwithstanding the request of the Presiding Officer or other Councilmembers, the Councilmember may participate in any such proceeding.

(d) Specific Statutory Provisions.

(1) Candidates for the City Council may express their opinions about pending or proposed quasi-judicial actions while campaigning. RCW 42.36.040.

(2) A candidate for the City Council who complies with all provisions of applicable public disclosure and ethics laws shall not be limited under the Appearance of Fairness Doctrine from accepting campaign contributions to finance the campaign, including outstanding debts. RCW 42.36.050.

(3) During the pendency of any quasi-judicial proceeding, no Councilmember may engage in ex parte (outside the hearing) communications with proponents or opponents about a proposal involved in the pending proceeding, unless the Councilmember: (a) places on the record the substance of such oral or written communications; and (b) provides that a public announcement of the content of the communication and of the parties' right to rebut the substance of the communication shall be made at each hearing where action is taken or considered on the subject. This does not prohibit correspondence between a citizen and his or her elected official if the correspondence is made a part of the record, when it pertains to the subject matter of a quasi-judicial proceeding. RCW 42.36.060.

(e) Public Disclosure File. The City Clerk shall maintain a public disclosure file, which shall be available for inspection by the public. As to elected officials, the file shall contain copies of all disclosure forms filed with the Washington State Public Disclosure Commission.

As to members of the Planning Agency, the file shall contain for each member a disclosure statement. The Planning Agency disclosure statement shall list all real property and all business interests located in the City of Des Moines in which the member or the member's spouse, dependent
children, or other dependent relative living with the member, have a financial interest.

(f) Procedure on Application. Any person making application for any action leading to a quasi-judicial hearing shall be provided with a document containing the following information: (1) the names and address of all members of the City Council, the Planning Agency, and Community Land Use Councils, (2) a statement that public disclosure information is available for public inspection regarding all such members, and (3) a statement that if the applicant intends to raise an appearance of fairness issue, the applicant should do so at least two weeks prior to any public hearing. The applicant shall acknowledge receipt of such document.
San Juan County

PUBLIC HEARING PROCEDURES

Section 8.1 Appearance of Fairness Doctrine. Definition, Application, Disclosures/Disqualifiers:

(a) Appearance of Fairness Doctrine Defined. When the law which calls for public hearings gives the public not only the right to attend, but the right to be heard as well, the hearings must not only be fair but must appear to be so. It is a situation where appearances are quite as important as substance. Where there is a showing of substantial evidence to raise an appearance of fairness question, the court has stated: It is the possible range of mental impressions made upon the public's mind, rather than the intent of the acting governmental employee, that matters. The question to be asked is this: Would a disinterested person, having been apprised of the totality of a Council Member's personal interest in a matter being acted upon, be reasonably justified in thinking that partiality may exist? If answered in the affirmative, such deliberations, and any course of conduct reached thereon, should be voided.

(b) Types of Hearings to Which the Doctrine Applies. RCW 42.36.010 states:

Application of the appearance of fairness doctrine to local land use decisions shall be limited to the quasi-judicial actions of local decision-making bodies as defined in this section. Quasi-judicial actions of local decision-making bodies are those actions of the legislative body…which determine the legal rights, duties, or privileges of specific parties in a hearing or other contested case proceeding. Quasi-judicial actions do not include the legislative actions adopting, amending, or revising comprehensive, community, or neighborhood plans or other land use planning documents or the adoption of area-wide zoning ordinances or the adoption of a zoning amendment that is of area-wide significance.

Street vacations are typically legislative actions, unless clearly tied to, and integrated into, a site-specific development proposal which is quasi-judicial in nature.

Section 8.2 Obligations of Council Members - Procedure.

(a) Immediate self-disclosure of interests that may appear to constitute a conflict of interest is hereby encouraged. Council Members should recognize that the Appearance of Fairness Doctrine does not require establishment of a conflict of interest, but whether there is an appearance of conflict of interest to the average person. This may involve a Council Member's business associate, or a member of the Council Member's immediate family. It could involve ex parte (from one party only, usually without notice to, or argument from, the other party) communications, ownership of property in the vicinity, business dealings with the proponents or opponents before or after the hearing, business dealings of the Council Member's employer with the proponents or opponents, announced predisposition, and the like. Prior to any quasi-judicial hearing, each Council Member should give consideration to whether a potential violation of the Appearance of Fairness Doctrine exists. If the answer is in the affirmative, no matter how remote, the
Council Member should disclose such fact to the County Attorney as to whether a potential violation of the Appearance of Fairness Doctrine exists.

(b) Anyone seeking to disqualify a Council Member from participating in a decision on the basis of a violation of the Appearance of Fairness Doctrine must raise the challenge as soon as the basis for disqualification is made known, or reasonably should have been made known, prior to the issuance of the decision. Upon failure to do so, the doctrine may not be relied upon to invalidate the decision. The party seeking to disqualify the Council Member shall state, with specificity, the basis for disqualification; for example: demonstrated bias or prejudice for or against a party to the proceedings, a monetary interest in outcome of the proceedings, prejudgment of the issue prior to hearing the facts on the record, or ex parte contact. Should such challenge be made prior to the hearing, the Prosecuting Attorney, after interviewing the Council Member, shall render an opinion as to the likelihood that an Appearance of Fairness violation would be sustained in Superior Court. Should such challenge be made in the course of a quasi-judicial hearing, the Council Member shall either excuse him/herself or a recess should be called to permit the Prosecuting Attorney to make such interview and render such opinion.

(c) In the case of the Council sitting as a quasi-judicial body, the Chair shall have authority to request a Council Member to excuse him/herself on the basis of an Appearance of Fairness violation. Further, if two (2) Council Members believe that an Appearance of Fairness violation exists, such individuals may move to request a Council Member to excuse him/herself on the basis of an Appearance of Fairness violation. In arriving at this decision, the Chair or other Council Members shall give due regard to the opinion of the Prosecuting Attorney.

Section 8.3 Specific Statutory Provisions.

(a) County Council Members shall not express their opinions about pending or proposed quasi-judicial actions on any such matter which is or may come before the Council.

(b) County Council Members who comply with all provisions of applicable public disclosure and ethics laws shall not be limited under the Appearance of Fairness Doctrine from accepting campaign contributions to finance the campaign, including outstanding debts. (RCW 42.36.050)

(c) Members of local decision-making bodies. No member of a local decisionmaking body may be disqualified by the Appearance of Fairness Doctrine for conducting the business of his or her office with any constituent on any matter other than a quasi-judicial action then pending before the local legislative body. (RCW 42.36.020)

(d) *Ex Parte* communications should be avoided whenever possible. During the pendency of any quasi-judicial proceeding, no Council Member may engage in *ex parte* communications with proponents or opponents about a proposal involved in the pending proceeding, unless the Council Member: (1) places on the record the substance of such oral or written communications concerning the decision or action; and (2) undertakes to assure that a public announcement of the content of the communication and of the parties' right to rebut the substance of the communication shall be made at each hearing where
action is taken or considered on the subject. This does not prohibit correspondence between a citizen and his or her elected official, if the correspondence is made a part of the record, when it pertains to the subject matter of a quasi-judicial proceeding. (RCW 42.36.060)

(e) Procedure on Application. Any person making application for any action leading to a quasi-judicial hearing before the County Council shall be provided with a document containing the following information: (1) the names and address of all members of the County Council, (2) a statement that public disclosure information is available for public inspection regarding all such Council Members, and (3) a statement that if the applicant intends to raise any appearance of fairness issue, the applicant should do so at least two (2) weeks prior to any public hearing, if the grounds for such issue are then known, and in all cases, no later than before the opening.
Spokane County Boundary Review Board – Rules of Procedure

APPEARANCE OF FAIRNESS

Ex Parte Communications

In accordance with RCW 42.36.060, members shall abstain from any and all communications with persons or governmental or private entities which are, or expected to be, parties to an action before the Board.

This restriction is limited to matters before the Board, or which may come before the Board. If a member receives a letter or other written communication relating to a matter before the Board from a source other than the Boundary Review Board Office, that member shall transmit the material to the Director for inclusion in the record.

Members shall avoid conversations with any party to the action except when such conversation is on the record. It shall be the duty and responsibility of each member to publicly disclose at the earliest opportunity any communication between said member and a party to a matter before the Board.

Disclosure

It shall be the duty and responsibility of each member to disclose at the earliest opportunity any possible ex parte communications thereof to the Chair and Legal Counsel. Upon such disclosure, the member may withdraw from the Board proceedings and shall leave the room in which such proceedings ensue. If a member chooses not to withdraw, the Chair shall, at the earliest opportunity upon the opening of a public hearing, disclose to the parties present the occurrence and nature of the possible violation.

Procedures to be followed by Board/Chair with reference to Appearance of Fairness: Ex-Parte Communications and Disclosure

Upon discovery of the existence of ex-parte communications, the Chair shall, at each and every subsequent hearing on the proposal request that the member:

Place on the record the substance of any written or oral ex-parte communication concerning the decision of action; and

Provide a public announcement of the content of the communication and of the parties' rights to rebut the substance of the communication shall be made at each hearing where action is considered or taken on the subject to which the communication related.
City of Pullman – Quasi-Judicial Hearing Procedures

Information sheet for those attending Quasi-Judicial Public Hearings of the Pullman Planning Commission. For many issues, the Planning Commission is required by law to hold what are known as “quasi-judicial” public hearings. Quasi-judicial hearings involve the legal rights of specific parties and usually pertain to one particular parcel of land. In these cases, the Commission acts like a judge by determining the legal rights, duties, and privileges of specific parties in the hearing (hence the term “quasi-judicial”). The fundamental purpose of a quasi-judicial hearing is to provide the affected parties due process. Due process requires notice of the proceedings and an opportunity to be heard. This information sheet has been prepared to help you understand what the Commission does during the course of these public hearings and why it follows these procedures. (Please note that the provision of a hearing notice to affected parties, while part of the entire process, is not included in the information below because this document addresses only those steps that occur during the public hearing itself.)

<table>
<thead>
<tr>
<th>PUBLIC HEARING PROCEDURES</th>
<th>WHY IS THIS DONE?</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. The Planning Commission chair opens the hearing.</td>
<td>This step advises everyone present that the hearing is starting.</td>
</tr>
<tr>
<td>2. The chair reads the rules of procedure for the hearing. Procedures require administering an oath or affirmation to tell the truth to everyone who speaks. The chair can administer the oath or affirmation to all speakers while reading the rules of procedure or individually to each speaker prior to speaking.</td>
<td>The rules of procedure provide the organizational structure for the hearing process. The oath is administered to ensure the integrity of the evidence provided.</td>
</tr>
<tr>
<td>3. The chair asks questions to disclose any “Appearance of Fairness” issues for Commission members and to allow persons in the audience the opportunity to disclose conflicts affecting Commission members’ abilities to be impartial.</td>
<td>The “Appearance of Fairness” questions are asked so that any Commission member may disclose conflicts, and so that, when appropriate, Commission members may disqualify themselves because of these conflicts.</td>
</tr>
<tr>
<td>4. Planning staff presents its “staff report,” in which it summarizes background information and recommendations on the matter under consideration. Often the Commission asks questions of staff following presentation of this report.</td>
<td>The staff report furnishes information to the public and Commission to assist in all participants’ understanding of the matter.</td>
</tr>
<tr>
<td>5. The chair requests public testimony. The applicant and other proponents are called first, followed by opponents and neutral parties. Proponents and opponents then have an opportunity to respond. It is likely that time limits will be imposed on this public testimony. When this testimony is concluded, the chair closes the public input portion of the hearing.</td>
<td>Accepting comment from affected parties is a key component of the hearing process. Time limits are imposed to promote an efficient hearing and to facilitate the presentation of well-organized, concise testimony.</td>
</tr>
<tr>
<td>6. The Commission members discuss the merits of the case. Often the Commission asks more questions of staff or witnesses at this time. Sometimes this procedure is combined with step #7 below.</td>
<td>The Commission seeks consensus during this stage of the hearing so that it can proceed to making a final decision.</td>
</tr>
<tr>
<td>7. The Commission members formulate a written record of their decision called a “resolution.” First, the Commission members adopt “Findings of Fact” and “Conclusions,” based on the evidence presented at the</td>
<td>The Commission must ensure that it has appropriate documentation citing not just its decision, but also the reasons why it is making this decision. It must be careful to utilize only the evidence presented at the</td>
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</tbody>
</table>
hearing, in order to provide a written justification for their decision. Although staff usually provides a draft resolution to the Commission before the hearing, the Commission sometimes finds it necessary to prepare additional or different “Findings of Fact” and “Conclusions”; if this occurs, it can take some time because Commission members often must write complex statements. Then, once “Findings of Fact” and “Conclusions” have been adopted, the Commission makes its decision on the matter. The Commission’s decisions are always made in the form of recommendations to the City Council.

hearing, and the evidence used to justify a decision must be substantial in light of the entire record.
Simplified Handbook of Parliamentary Procedure

MP350

University of Arkansas, U.S. Department of Agriculture, and County Governments Cooperating
This publication is designed to help experienced and inexperienced leaders and members conduct meetings of high quality and efficiency and give them guidance in organizational structure and functions. Knowledge of parliamentary rules is helpful to participants on every level: members need to be aware of methods of good procedure and leaders need to be knowledgeable in the use of proper techniques.

Good parliamentary procedure ensures justice to everyone, prescribes order, reflects kindness and generosity, provides constructive use of limited time and gives one a sense of self-confidence.

The text of this Handbook of Parliamentary Procedure is in harmony with the best authorities and resources available. (See table of references.) It is a streamlined guide adaptable to any size organization or assembly and provides simplified, up-to-date practice and procedure.


Publications of the National Association of Parliamentarians, 213 South Main Street, Independence, Missouri 64050-3850.


Leonardy’s Elementary Course in Parliamentary Procedure, Out of Print as of 3/7/83.

The 11th edition of Robert’s Rules of Order, Newly Revised, is the eleventh edition of the manual that the people of this country have looked to for 135 years as the authoritative statement of parliamentary law and the basic guide to fair and orderly procedure in meetings.

The 11th edition of Robert’s Rules of Order, Newly Revised, is the only currently authoritative volume to contain what is now the complete Robert’s Rules of Order subject matter as finally developed by the original author, General Robert, and those who have worked after him.

Among the more important areas of revision in the 11th edition of Robert’s Rules of Order are:

• More detailed treatment of removal of officers and trials as well as expanded provisions on remedies for abuse of authority by the chair in Chapter XX.

• Revision of the content of modified parliamentary rules in small boards and committees.

• A new subsection on “electronic meetings.”

• Clarification of the rules governing the ways in which business can go over from one session to a later one.

• A new subsection on challenging the announced results of elections and more precise rules on the retention of tally sheets and ballots.

• More precise delineation of the motions in order in the absence of a quorum.

• Clarification of what rights members have to inspect records of the assembly, boards and committees.

• Provision permitting notice to be sent by electronic communications, such as e-mail or fax, to members who consent.

Please refer to the Preface of the 11th Edition of Robert Rules of Order, Newly Revised, for additional important points which are included in the revision.

No organization can exist without members. The qualifications for membership, which are determined by the organization, should be clearly stated in its governing rules (charter, constitution and/or bylaws). Some organizations define in their governing documents different classes of membership, not all of which include full participation in the proceedings of the organization; however, the term “member” in this handbook refers to a person who has full participating rights.

When one joins an organization, one enters into a “contract of membership” with the organization. Should the member feel that change is necessary, it should be brought about by working fairly through the proper channels within the current rules.
Membership carries with it responsibilities as well as privileges, and where these are peculiar to the organization, they should be defined in its bylaws. However, it is neither necessary nor advisable to encumber bylaws with a list of privileges and responsibilities that are common to members of all organizations. Such a list of generally accepted privileges and responsibilities of membership should include, but should not be limited necessarily to, the following:

A. Privileges
   1. To attend meetings.
   2. To make motions and enter into debate.
   3. To vote.
   4. To nominate when appropriate.
   5. To be a candidate for office when requested.
   6. To insist on the enforcement of the rules of the organization and of parliamentary law based on Robert's Rules of Order, Newly Revised, or other adopted authority.
   7. To review official records of the organization, including the most recent governing document and the minutes of previous meetings.

B. Responsibilities
   1. To promote the object and purposes of the organization.
   2. To comply with and uphold the organization’s governing rules.
   3. To attend meetings regularly and punctually.
   4. To give one’s undivided attention to the business as well as the program of the meeting.
   5. To abstain from acts or remarks outside the meetings that will in any way interfere with the work of the organization and/or its officers.
   6. To hold office when requested.
   7. To perform conscientiously any duty assigned and accepted in the organization.

• Attends meetings regularly and arrives on time.
• Speaks up during the meeting, bringing out important points and contributing from one’s own experiences.
• Learns to be brief, because brevity wins the goodwill of other members.
Refraints from being technical or more strict than is absolutely necessary for the good of the meeting.
• Participates with good humor; brightens up the meeting and makes way for differing viewpoints.
• Faces opposition without taking offense or feeling there was personal criticism where none was intended.
• Serves willingly to the best of one’s ability, thus gaining experience with each added duty.
• Confines oneself to the question before the assembly and avoids personalities.
• Practices correct parliamentary procedure.
Meetings

Types of Meetings

All organizations conduct their affairs through meetings. Regardless of format, meetings are one of the following types.

1. **Regular Meetings** – Meetings for which the time and place are usually prescribed in the bylaws or standing rules.

2. **Special Meetings** – Meetings called for transaction of a special item of business. Procedure usually defined in bylaws.

3. **Annual Meetings** – Meetings scheduled for hearing reports, election of officers, amending rules and such other business as may need to come for information of members at the close of the organization year.

3. **Electronic Meetings** – A group that holds an official meeting by alternative means – that is, other than as a single gathering in one room or area – does not lose its character as a deliberative body as long as the meeting provides, at a minimum, a condition of opportunity for simultaneous aural communication among all participating members equivalent to those of meetings held in one room or area.

Bylaws must have provision to allow for conducting an electronic meeting. If done by teleconference, it must be done in a way that allows all participants to hear each other simultaneously, and if done by video conference, they must be able to see each other as well. Special rules of order and standing rules should be adopted with specific details how each participant will be recognized and given the floor. (RONR, p. 97-98)

Procedure for Small Boards

A small board is defined as one where there are not more than about a dozen members present. All of Robert’s Rules of Order apply as far as practicable, with certain exceptions. Best to adopt rule on procedure followed, otherwise it is “assumed” small board will be followed. Should not “flip-flop” between large and small board procedures at will but suspend rules to change procedure if necessary.

- Members may raise hand instead of rising to obtain the floor.
- Can be seated while making motions or speaking.
- Motions do not need to be seconded.
- There is no limit to the number of times a member can speak to a debatable Question.
- Motions are still in order to close or limit debate, including limiting times one can speak on a motion.
- Appeals are debatable under the regular rules.
  - Can speak only once in debate.
  - Chair may speak twice in debate.
• Informal discussion of a subject is permitted while no motion is pending. A vote can be taken without a motion, if everyone is perfectly clear.

• Unless there is unanimous consent, all actions must be approved by vote.

• Chair must restate motion before voting.

• Best to take vote by show of hands.

• Chair does not need to rise while putting the question (calling for the vote).

• Chair can speak in informal discussion and in debate.

• Chair can vote on all questions

• Footnote to actual rules (not in actual text of rules)
  – Informal discussion can be initiated by chair.
  – Chair can submit proposals.
  – Chair can make a motion.

(RONR, p. 487-488)

An Order of Business is essential to all meetings in which business of the organization is transacted. It assists the presiding officer and members in proceeding in an orderly way, maintains continuity in the transaction of business and establishes priorities for items of business.

Orders of Business should not be included in the bylaws since the bylaws may never be suspended. The Order of Business should be spelled out in the standing rules or the parliamentary authority. It is recommended that every group adopt a parliamentary authority as a guide. Robert’s Rules of Order, Newly Revised, is the most widely accepted authority in both deliberative and legislative assemblies.

An Order of Business differs from an Agenda. The following set forth the basic components of both.

**Order of Business:** The basic outline of the business proceedings.

1. Call to order.

2. Reading and approval of the minutes.

3. Reports of officers, boards, standing committees.

4. Reports of Special Committees (committees appointed to exist until they have completed a specified task or been discharged).

5. Special Orders matters which demand special priority).

6. Unfinished Business (never referred to as “old” business), which deals with matters previously introduced but not completed.

7. New Business (to bring a new matter before the organization for discussion).
**Agenda:** A schedule of the order of business, noting details. These details indicate items of business, reports, programs, appointments, resolutions and such other specific features as the presiding officer may need to ensure an orderly and courteous transition from item to item in the conduct of business. Careful preparation of an agenda requires familiarity with the rules of the organization, both local and parent, parliamentary practice, minutes of the previous meeting, a calendar of events and commitments, records of adopted policy, the roster of members and names of officers and chairmen.

In a large meeting or a convention, it is important that the agenda be well planned and that each member of the entire session be timed accurately and spaced in efficient and attractive sequence.

The following procedure for an order of business is suggested.

1. **Call to Order**
   
   Presiding officer raps the gavel once and announces, “The meeting will come to order.”

2. **Opening Ceremonies** (optional)

3. **Roll Call** (usually only necessary to establish a quorum and is optional)

4. **Reading and Approval of the Minutes**
   
   Chair: “The Secretary will read the minutes”; following the reading, the Chair will ask, “Are there any corrections to the minutes?” (Additions and omissions are corrections, therefore not used in this question.) If there are none, the Chair says, “The minutes stand approved as read.” If there are corrections, they are usually made by general consent and the Chair says, “The minutes stand approved as corrected.”

5. **Reports of Officers, Boards and Committees**
   
   Chair: “The Treasurer will now report.” Following the report, the Chair will ask, “Are there any questions on the Treasurer’s Report?” If there are no questions, the Chair says, “The report will be filed for audit.” (A Treasurer’s Report is never adopted.)

   Officers who have reports will be called on in proper order. The presiding officer should know in advance who is prepared to report.

6. **The Board or Executive Committee** may be empowered to transact business.

   The action taken is read by the Secretary for information as a Report of the Board. If the Report carries a recommendation, action may be taken at the time of the Report or under New Business.
7. **Standing Committees** are usually called upon in the order in which they are listed. The Chair should know in advance who is prepared to report. If the Report is for information only, no action is taken; if the report brings a recommendation, action may be taken at this time or under New Business. Action on the report is moved by the reporting member.

8. **Special Committees** are usually called on in the order in which they were appointed. If the Report is given for information, no action is taken; if the Report brings a recommendation, the reporting member may bring the recommendation for action at this point or under New Business.

9. **Unfinished Business** is business postponed or referred by motion or left unfinished from the previous meeting as recorded in the minutes (not referred to as “old business”).

10. **New Business** may be introduced by the Chair or by any member. The Chair will ask, “Is there any new business?” at which time there is an opportunity to bring new items of business by motion or resolution.

11. **Program** (If there is a planned program, the schedule should be optional.)

12. **Announcements**

13. **Adjournment** – The Chair asks, “Is there any further business to come before the assembly?” If the Chair hears none, the Chair may declare the meeting adjourned; however, a motion may be made to adjourn the meeting, requiring a second to the motion and a majority vote. The meeting is not adjourned until the Chair declares it adjourned.

A motion is a proposal to bring a subject to a group for its consideration and action. Motions are not all the same. They fall within certain classes, and some take priority over others. The following explains these classes of motions.

1. **Main Motions**: A main motion is a motion whose introduction brings before the assembly a question or business for consideration when no other business is pending. Only one main motion can be considered at a time, and no other main motion may be introduced until the first one is disposed of in some manner.

2. **Subsidiary Motions**: Subsidiary motions assist the assembly in treating or disposing of a main motion. Since they relate to the question before the house, it is in order to propose a subsidiary motion when a main motion is still before the assembly. The vote on the subsidiary motion is taken before the action is taken on the main motion. (See chart of motions and RONR, p. 62.)
3. **Privileged Motions:** Privileged motions do not relate to the pending business but deal with special matters of immediate and overriding importance. Privileged motions may interrupt debate before the assembly. (See chart of motions and RONR, p. 66.)

4. **Incidental Motions:** Incidental motions are motions incidental to another motion pending or incidental to other business at hand, such as suspending the rules or closing nominations. (See chart and RONR, p. 69.)

5. **Motions That Bring a Question Again Before the Assembly:** These motions bring a question that has already been considered by the assembly back before the assembly, as in the case of the motions to rescind or take from the table. (See chart and RONR, p. 74.)

### Making a Motion

To properly make a motion, the following procedures are followed:

1. **Member rises and addresses the Chair.**
   
   “Mr. / Madam President or Chairperson.”

2. **Chair recognizes the member.**

3. **Member:** “I move that ________ (states motion).”

4. **Second to motion** (not necessary to stand).
   
   “I second the motion.” If the motion comes as a recommendation from two or more members, the motion does not need a second.

5. **Chair states motion:** “It has been moved by (name) and seconded that ________.”

6. **Discussion:** If the motion is debatable, every member has the right to debate; the Chair refrains from debate while presiding. The Chair carefully determines the order in which members are recognized to speak, giving first opportunity to the proposer of the motion. Care should be given to assure that discussion is related to the question.

7. **The Chair says,** “If there is no further discussion, the motion is ________ (restate motion).”

8. **Vote:** The Chair says, “All those in favor of ________ (the motion stated) say ‘aye.’ Those opposed say ‘no.’”

9. **Result of the vote is stated by the Chair.** “The motion is carried” or “the motion is lost.”

### Amending a Motion

To amend a motion is to alter or modify the wording of a motion that has already been made.

1. **Methods of Amending**
   
   a. Insert
   
   b. Add (at the end)
An amendment must be germane to the main motion; it must relate to the same subject matter.

2. **Types of Amendments**
   a. Primary – an amendment that applies directly to the main motion.
   b. Secondary – an amendment that applies directly to the primary amendment only.

   No amendment beyond the above is in order, and only one of each may be made at one time. It is possible to have a motion, an amendment to the motion and an amendment to the amendment before the assembly at one time.

3. **Voting on Amendments**
   a. Discussion and vote on secondary amendment.
   b. Discussion and vote on primary amendment as amended (if amendment carried).
   c. Discussion and vote on main motion as amended (if amendments carried).

Some of the most often used motions are these. Their purposes are also explained.

**Main Motion** – a motion to bring a matter before the assembly for discussion and action.

**Amendments** – primary and secondary amendments are to modify or change a motion. (See “amendments.”)

**Postpone Indefinitely** – to reject a motion or question pending without taking a direct vote. The effect is to “kill” the main motion.

**Refer to a Committee** – to delay action; to give more time for consideration or study of the matter.

**Postpone to a Definite Time** – to delay action on a proposed question to a specified time.

**Limit or Extend Debate** – to limit by decreasing the allotted time or to extend by increasing the allotted time. (See chart.)

**Call for the Previous Question** – a motion to determine whether the assembly will cut off debate and vote at once on the pending question (requires two-thirds vote).

**Lay on the Table** – a motion which enables the assembly to put aside a pending question temporarily; can be brought back by a motion to take from the table (not intended as a killing motion).

**Call for Orders of the Day** – a request that the prescribed rules of order be followed.
Questions of Privilege (Personal and General) – a motion requesting special privilege for an individual or the assembly.

Recess – to dissolve an assembly temporarily.

Adjourn – to close a meeting officially.

Fix Time and Place to Which to Adjourn – to provide for another meeting (called “adjourned meeting”) to continue business that was not completed in present session.

Point of Order – to request enforcement of the rules of order.

Appeal From the Decision of the Chair – to question a decision of the Chair; an effort to reverse the decision of the Chair on a point of order.

Objection to Consideration – to suppress and prevent discussion of an undesirable or sensitive question (must be raised before debate begins).

Withdraw – to remove a matter for consideration without a vote upon it. (May be made by the mover or by permission of assembly.)

Take From the Table – to take up a matter which has been laid on the table.

Reconsider – to consider or bring back a matter previously voted. Motion to reconsider must be made by voter on prevailing side and must be made on the same day or in the same session.

Rescind – to repeal or annul action previously taken. Requires majority vote with previous notice, two-thirds without notice.

Ratify – to make legal action taken in an emergency.
Chart for Determining When Each Motion Is in Order

In the chart below, the privileged, subsidiary, incidental and main motions are listed in order of rank. The motion at the top takes precedence over all the others, and each of the remaining motions takes precedence over all those below it. A main motion is in order only when no other motion is pending.

When a given one of the motions listed is immediately pending, then (a) any other motion appearing above it in the list is in order, unless a condition stated opposite the other motion causes that motion to be out of order, and (b) motions listed below the given motion, which are not already pending, are out of order (except for the application of amend or the previous question to certain motions ranking above them).

<table>
<thead>
<tr>
<th>Motion Description</th>
<th>In another has the floor</th>
<th>Requires a second</th>
<th>Debatable</th>
<th>Vote required</th>
</tr>
</thead>
<tbody>
<tr>
<td>PRIVILEGED MOTIONS (Can Quinton Really Afford a Ferrari? – ranking order)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>To fix the time to which to adjourn</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>To adjourn</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>To recess</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>To rise to a question of privilege</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Chair rules</td>
</tr>
<tr>
<td>To call for the order of the day</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>None</td>
</tr>
<tr>
<td>SUBSIDIARY MOTIONS (Pearls Are Classy, Pretty Lady, Pretty Lady – ranking order)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>To lay on the table</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>To call for the previous question</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Two-thirds</td>
</tr>
<tr>
<td>To limit or extend limit of debate</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Two-thirds</td>
</tr>
<tr>
<td>To postpone to a definite time</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>To refer to a committee</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>To amend</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>To postpone indefinitely</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>INCIDENTAL MOTIONS (Non-ranking motions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>To suspend the rules</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Two-thirds</td>
</tr>
<tr>
<td>To withdraw a motion</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>To call for reading of papers</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>To object to consideration</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Two-thirds (opposed)</td>
</tr>
<tr>
<td>To rise to a point of order</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Chair rules</td>
</tr>
<tr>
<td>To rise to a parliamentary inquiry</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Chair responds</td>
</tr>
<tr>
<td>To appeal from the decision of the Chair</td>
<td>Yes</td>
<td>Yes</td>
<td>No (if relates to priority business or decorum)</td>
<td>Majority (in negative)</td>
</tr>
<tr>
<td>To call for a division of the house</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>None</td>
</tr>
<tr>
<td>To call for a division of the question</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>MOTIONS THAT BRING A QUESTION AGAIN BEFORE THE HOUSE</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>To reconsider (see definition, Most Used Motions)</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>To rescind</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority (previous notice)</td>
</tr>
<tr>
<td>To take from table</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>MAIN MOTIONS</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
</tbody>
</table>
Good leadership and informed membership are directly related to the officers’ knowledge and skillful use of parliamentary procedure and rules of order. The usage – extent and the diversity – depend on the particular organization. Since the quality and the effectiveness of an organized group is often determined by the proficiency of its leaders, choices of officers and other leaders must be made on the basis of the best qualifications for appropriate positions. Members and officers should be familiar with functions of their officers, their officers’ qualifications and duties as prescribed by the organization’s bylaws.

The term PRESIDENT or CHAIRPERSON is a title given to the presiding officer unless a special title is chosen by the organization. The officers in line to serve in the absence of the PRESIDENT are VICE PRESIDENTS or VICE CHAIRPERSONS. The SECRETARY is the recording officer and fills other secretarial duties if there is no CORRESPONDING SECRETARY. The TREASURER is the custodian of the funds.

There may be additional officers specified in the bylaws, such as Director, Librarian, Historian and Chaplain, with assigned special duties.

The PARLIAMENTARIAN is a consultant to the PRESIDENT or other members of the organization and should be appointed by the PRESIDENT.

Qualifications of Officers

<table>
<thead>
<tr>
<th>Role</th>
<th>Qualifications</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>President:</strong></td>
<td>Should be a good member and know the structure and purpose of the organization, have the ability to get along well with people, be able to preside with dignity, have a sense of humor.</td>
</tr>
<tr>
<td><strong>Vice President:</strong></td>
<td>Should have most of the qualities of the President since the Vice President acts in the absence of the President.</td>
</tr>
<tr>
<td><strong>Recording Secretary:</strong></td>
<td>Should be prompt and dependable, accurate in work, possess some skill in the use of words.</td>
</tr>
<tr>
<td><strong>Corresponding Secretary:</strong></td>
<td>Should be cordial and tactful and understand good principles of letter writing.</td>
</tr>
<tr>
<td><strong>Treasurer:</strong></td>
<td>Should have an understanding of good business procedure, capacity for handling money, some bookkeeping skills and unquestioned honesty.</td>
</tr>
</tbody>
</table>

Qualifications of the other officers depend on the purpose for which they exist as defined by the organization and its rules.

Duties of Officers

The general duties of the officers are stated in recognized parliamentary authority; general and specific duties are included in the bylaws and standing rules of the particular organization. The following list includes those duties generally considered important for good performance.
President:

1. Prepare a detailed order of business and agenda for each meeting.
2. Open meetings on time as designated.
3. Conduct the meeting but not dominate it; be firm but courteous.
4. Know the rules of correct procedure and use them skillfully.
5. Exact obedience to bylaws and other rules and policies.
6. Conduct business in a manner that ensures the right of every member.
7. Meet the demands of the office unselfishly.
8. If given the authority, select chairmen, committees and appointive officers for qualification for the particular assignment.
9. Leave the chair to debate.
10. Vote as other members in ballot vote; may vote to break tie in voice vote only.
11. Observe and supervise the basic program of work of the local and the parent organizations.
12. Where bylaws designate, serve as ex-officio member of committees. (See RONR, p. 402, #48.)
13. Sign all necessary orders, reports or financial transactions as defined in the rules of the organization.
14. Acquire and use all tools necessary to the conduct of the office. (Bylaws and other rules of the group and parent organization; a copy of recognized parliamentary authority; appropriate handbooks, yearbooks, publications, list of officers, chairmen and members.)

Vice President:

1. Preside in the absence of the President.
2. Assume such other positions of responsibility as may be designated in the bylaws or otherwise prescribed.
3. If the bylaws so indicate, fill a vacancy in the office of President. Vice Presidents, where there be more than one, assume duties in the order of their office (1st V Pres., 2nd V Pres., etc.)

Secretary:

1. Keep a precise permanent record of the proceedings called minutes.
2. Keep a file of reports.
3. Prepare a list of unfinished business for the President.
4. Have for ready reference in meetings minutes, bylaws and policy references, roll of members, other pertinent lists.
5. Take accurate notes of proceedings and transcribe them into permanent form immediately following the meeting. Request that complicated motions be provided in writing, signed by the maker of the motion.

6. Write minutes in brief, carefully worded sentences.

7. Sign minutes with the name used in the membership roster (no "respectfully submitted"). When approved or corrected, initial or sign with date of approval. Write corrections in the margins.

8. Send a copy of minutes to the President within a reasonable time.

9. Read correspondence if there is no Corresponding Secretary; read reports of absentee members.

10. Present recommendations of the Board or Executive Committee. The Secretary may make motions, debate and vote.

11. Sign with other officers official papers and documents as prescribed.

12. Call a meeting to order in the absence of the presiding officers and preside over the election of a temporary Chair.

**Treasurer:**

1. Be custodian of all funds.

2. Receive funds systematically and according to the rules.

3. Deposit all monies in such financial institutions as may be approved by the organization or its Board.

4. Disburse funds as designated and keep a schedule of payment obligations.

5. Expend only on proper authority.

6. Keep an accurate account and make such reports as may be desirable. Be prepared to have books audited, and deliver records to successor on time.

7. Include in the report the balance at the beginning of the period, receipts, disbursements and balance on hand at close of period. The Treasurer’s report is never adopted; it is referred for audit or placed on file.

8. Provide copies of the report to the President and the Secretary.

9. Where large amounts of money are involved, the Treasurer should be bonded for protection of the officer and the security of the organization.

**Parliamentarian:**

1. Have a thorough knowledge of correct parliamentary procedure for conducting meetings and interpreting the rules of the organization.

2. Serve as an "advisor" to the President at the discretion of the President and other officers or members on matters related to the organization.
3. Give “opinion” or “advice” not a “ruling” since the Chair rules.

4. Serve as a consultant to committees on rules, bylaws, elections, resolutions.

**Other Officers:**

Duties of other officers should be carefully described in bylaws or rules according to the needs of the organization and its parent body.

**Specialists and Consultants:**

Many organizations depend on the guidance of persons qualified to give leadership in special fields and to teach certain skills. These may be provided for in bylaws, standing rules or in the established policy of the organization.

Many organizations have a Board to take care of the essential business between meetings. It may be called Board of Directors, Executive Board, Board of Managers or Board of Trustees. The bylaws should state the name of the board, who shall serve as members and the duties and authority of the board.

In large organizations, there is also an Executive Committee, usually composed of the elected officers, to act in emergencies between meetings of the Board or the membership. In some instances, it is expedient to have this body as the only subordinate group, with its duties and authority usually designated for a board.

A nomination is the presentation of the name of a person to the assembly as a nominee for an office to be filled.

Most organizations have detailed provisions for nominating and electing officers in the bylaws or other governing rules. Such details usually include the method of nominations, time of nominations, time and method of election and details of installation (if this is observed).

Listed below are the most frequently used methods.

1. **Nominations From the Floor.**
   
a. In order when the presiding officer calls for them.

   b. Require no second.

   c. The presiding officer repeats the names of the nominees and the secretary records them.

   d. When the presiding officer is sure that every opportunity has been given for nominations, the presiding officer may declare the nominations closed. It is in order for any member to move to close nominations; the motion requires a two-thirds vote. Nominations may be reopened by a motion and a majority vote.
2. **Nominations by a Nominating Committee.**

   a. The nominating committee shall be *elected by the organization according to the rules of the organization.* (Bylaws, policy rules, parliamentary authority.)

   b. The nominating committee shall submit to the organization, at the prescribed time, the names of nominees proposed for office (copies to Presiding Officer and Secretary).

   c. Following the report of the nominating committee, the presiding officer shall call for nominations from the floor. When no further nominations are presented, the presiding officer may declare the nominations closed or entertain a motion to close nominations.

   d. The report of the nominating committee is never adopted. (Voting is the act of adoption.)

   e. The membership of the organization may be informed of the names of proposed nominees before the meeting at which the committee submits its report. This should be written into the bylaws or standing rules if the procedure is acceptable.

**Elections**

Being nominated to office does not within itself put a person in office. Nominees must be elected. To be elected to office involves member voting. The usual methods of voting following the closing of nominations are as follows.

1. **Voice Vote:** Election may be by voice vote unless a ballot vote is required. (The motion to instruct the secretary to cast the ballot is not good procedure.)

   a. Nominees are voted on in the order in which they are nominated.

   b. Tellers may be appointed to assist with the count of votes and report to presiding officer.

   c. The presiding officer officially announces the result and declares the election.

2. **Ballot Vote:**

   a. When a ballot vote on nominees is required or expedient, it is important to make the necessary preparation for ballots, ballot boxes, time allotment and space as needed.

   b. Tellers to count the ballots should be carefully selected and instructed on correct procedure. Common sense must govern the validity of ballots if no rules exist. Three is the usual number of tellers, but size of organization may determine number.

   c. Tellers report the result of the election at the designated time and give copies of the report to the presiding officer and the secretary.
d. The presiding officer repeats the results and declares the election.

Officers assume their duties at the time designated by the organization. Usually the time is stated in the bylaws and provides for taking office at the close of the meeting at which they are elected or following an installation at some future time. If no rules exist in practice or policy, the officers assume their duties upon election.

Organizations that have a widely distributed membership and find it difficult to assemble members for elections may opt to hold elections by mail or permit proxy voting. Both of these methods are complicated and require detailed governing rules.

It is necessary that every permanent organization have rules in order to define the organization, to provide for efficient and equitable transaction of its business and to protect its membership. These rules are generally in the form of bylaws, which deal with basic rules of the organization itself (i.e., name, purpose, etc.), and standing rules, which deal with the administration of the organization. It is the recommended practice that the basic rules be combined in a single instrument called “bylaws.”

An organization that is incorporated, to meet legal requirements, will have Articles of Incorporation, with bylaws and standing rules. Otherwise, bylaws and standing rules are the recommended form for rules of an organization today.

There should be a committee to prepare proposed bylaws. (Note – If a qualified Parliamentarian is available, the assistance of one may be helpful in developing the proposed bylaws.) When completed, copies of the proposed bylaws should be given to every member in advance (at least one to two weeks) of the meeting at which they are to be considered and action taken.

At the meeting at which the proposed bylaws are to be considered, a motion is made to adopt the proposed bylaws (usually made by the Committee Chairman or a member of the bylaws committee). The bylaws are read one Article at a time, discussed and amended before going to the next Article. After all Articles have been discussed, it is good procedure for the presiding officer to ask if there are any further amendments to any of the Articles discussed prior to the vote on the motion to adopt the bylaws. A majority vote is necessary to adopt the bylaws. Note: Bylaws become effective immediately upon their adoption unless otherwise stated.

The following outline includes Articles that are usual in bylaws, in the usual order. Bylaws may reflect variations on these, depending on the type, size and complexity of the organization. Bylaws should be kept as simple and unrestrictive as possible, including only those rules necessary to facilitate the work of the organization.
1. **A Suggested Outline for Bylaws is as follows.** This suggested outline spells out what should be included under each article.

   **ARTICLE I. Name**
   The exact and properly punctuated name should be used.

   **ARTICLE II. Object**
   A brief general statement of purpose.

   **ARTICLE III. Membership**
   This article usually will have several sections dealing with membership qualifications, classifications (if any), acceptance and resignation procedures. Unless members’ financial obligations are complicated, this Article should also include a section on required fees and dues and procedures for payment and notification of members if delinquent.

   **ARTICLE IV. Officers**
   This will include sections naming officers, qualifications for office, terms of office, duties and procedures for election or appointment and for filling vacancies.

   **ARTICLE V. Meeting**
   This will include sections on regular meetings (hour for this is better set in the Standing Rules), annual meetings and special meetings. It also includes a section establishing quorums for meetings.

   **ARTICLE VI. Governing Board**
   *(Executive Board, Board of Directors, etc.)*
   The Article includes sections to establish composition of the Board, to specify powers delegated to the Board and to set meeting and quorum requirements of the Board.

   **ARTICLE VII. Committees**
   This Article specifies necessary Standing Committees and duties of each. It also should include a section on special committees, how they shall be created and how committee members are appointed.

   **ARTICLE VIII. Finances (if necessary)**
   This Article would include sections on financial policies and budgeting.

   **ARTICLE IX. Dissolution**
   Most organizations of any size and/or complexity include this Article to provide for disposition of assets in the event of dissolution of the organization.

   **ARTICLE X. Parliamentary Authority**
   *Robert’s Rules of Order, Newly Revised,* is the recommended authority.
ARTICLE XI. Amendments
This will include requirements for amending bylaws. The accepted form is: Bylaws may be amended at any meeting provided that the amendments have been submitted (preferably in writing) to the membership within a specified number of days prior to action on these amendments.

2. Standing Rules
Standing Rules are rules that deal primarily with administration of an organization. They are not necessarily proposed at the time of adoption of the bylaws, rather they are presented and adopted as a need arises in the organization. Standing Rules are adopted by majority vote and may be suspended by majority vote. They can be amended or repealed by two-thirds vote without previous notice or by majority vote if previous notice is given.

Adopt – to accept or approve a report or statement.
Adjourn – to close a meeting officially.
Agenda – a detailed outline of the items under the order of business for a specific meeting.
Approve – to adopt, to accept, to agree to or to ratify.
Assembly – a gathering or group of persons with common interest and purpose.
Chair – the presiding officer; the president; the chairperson.
Debate – discussion.
Ex officio – by virtue of office (to be designated in bylaws). Full privilege of other members.
General Consent – informal agreement without the formality of a motion.
Germane – closely related; of the same subject matter.
House, The – the assembly. Members assembled for the transaction of business.
Order of Business – a sequence of business to be taken up at a session of an assembly; a schedule of business to be considered at a meeting.
Pro Tem – for the time being; acting in place (Latin pro tempore).
Quorum – the number of members, as stated in bylaws, that must be present for a legal transaction of business. If not stated in bylaws, it is a majority of the membership.
Rank – precedence; having priority.
Recess – an intermission within a meeting approved by the members.
Definitions Related to Motions

Amend – modify or change.
Immediately Pending Question – the last question stated by the chair.
Motion – a formal proposal that certain action be taken; the question.
Main Motion – a motion which introduces a new subject.
Pending Question (motion) – a motion that has been stated by the chair and is under consideration.
Question, The – the business before the house.
Resolution – a formal motion. It may have a preamble setting forth the reasons.

Definitions Related to Votes

Division of the House – a rising vote to determine the exact number of votes.
Majority Vote – more than half of the votes cast by persons entitled to vote.
Plurality Vote – the highest number of votes when there are three or more choices.
Tie Vote – the same number on each side.
Two-Thirds Vote – two-thirds of the votes cast by persons entitled to vote.

Definitions Related to Methods of Voting

Ballot Vote – a written vote; secrecy the main object.
Mail Vote – method to be provided in bylaws.
Proxy Vote – a vote cast by another on authority given by member; only valid if provided in bylaws.
Roll Call – voice vote by calling roll of members.
Standing Vote – members stand to indicate vote.
Unanimous Vote – no one dissenting.
Voice Vote – response of “aye” or “no” by members to indicate vote.

Definitions of Governing Documents (Laws and Rules)

Articles of Incorporation – rules contained in a “corporate charter” issued by a state, setting forth legal agreements with the organization for the protection of the name, property and membership liability.
Bylaws – a document adopted by an organization which contains the basic rules for governing that organization. Now recommended as the combined “constitution” and “bylaws” into a single instrument called “bylaws.”
Charter – a document issued by a parent organization granting permission to a local group to operate as an affiliate.
Standing Rules – rules which deal with the details of the administration of an organization and which are of temporary or semi-permanent nature and may be adopted or changed without previous notice.
# ROBERTS RULES CHEAT SHEET

<table>
<thead>
<tr>
<th>To:</th>
<th>You say:</th>
<th>Interrupt Speaker</th>
<th>Second Needed</th>
<th>Debatable</th>
<th>Amendable</th>
<th>Vote Needed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adjourn</td>
<td>&quot;I move that we adjourn&quot;</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>Recess</td>
<td>&quot;I move that we recess until…&quot;</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>Complain about noise, room temp., etc.</td>
<td>&quot;Point of privilege&quot;</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>Chair Decides</td>
</tr>
<tr>
<td>Suspend further consideration of something</td>
<td>&quot;I move that we table it&quot;</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>End debate</td>
<td>&quot;I move the previous question&quot;</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>2/3</td>
</tr>
<tr>
<td>Postpone consideration of something</td>
<td>&quot;I move we postpone this matter until…&quot;</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>Amend a motion</td>
<td>&quot;I move that this motion be amended by…&quot;</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
<tr>
<td>Introduce business (a primary motion)</td>
<td>&quot;I move that…&quot;</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>Majority</td>
</tr>
</tbody>
</table>

The above listed motions and points are listed in established order of precedence. When any one of them is pending, you may not introduce another that is listed below, but you may introduce another that is listed above it.

<table>
<thead>
<tr>
<th>To:</th>
<th>You say:</th>
<th>Interrupt Speaker</th>
<th>Second Needed</th>
<th>Debatable</th>
<th>Amendable</th>
<th>Vote Needed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Object to procedure or personal affront</td>
<td>&quot;Point of order&quot;</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>Chair decides</td>
</tr>
<tr>
<td>Request information</td>
<td>&quot;Point of information&quot;</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>None</td>
</tr>
<tr>
<td>Ask for vote by actual count to verify voice vote</td>
<td>&quot;I call for a division of the house&quot;</td>
<td>Must be done before new motion</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>None unless someone objects</td>
</tr>
<tr>
<td>Object to considering some undiplomatic or improper matter</td>
<td>&quot;I object to consideration of this question&quot;</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>2/3</td>
</tr>
<tr>
<td>Take up matter previously tabled</td>
<td>&quot;I move we take from the table…&quot;</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>Reconsider something already disposed of</td>
<td>&quot;I move we now (or later) reconsider our action relative to…&quot;</td>
<td>Yes</td>
<td>Yes</td>
<td>Only if original motion was debatable</td>
<td>No</td>
<td>Majority</td>
</tr>
<tr>
<td>Consider something out of its scheduled order</td>
<td>&quot;I move we suspend the rules and consider…&quot;</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>2/3</td>
</tr>
<tr>
<td>Vote on a ruling by the Chair</td>
<td>&quot;I appeal the Chair's decision&quot;</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Majority</td>
</tr>
</tbody>
</table>

The motions, points and proposals listed above have no established order of preference; any of them may be introduced at any time except when meeting is considering one of the top three matters listed from the first chart (Motion to Adjourn, Recess or Point of Privilege).
PROCEDURE FOR HANDLING A MAIN MOTION

NOTE: Nothing goes to discussion without a motion being on the floor.

Obtaining and assigning the floor

A member raises hand when no one else has the floor
  • The chair recognizes the member by name

How the Motion is Brought Before the Assembly

  • The member makes the motion: I move that (or “to”) ... and resumes his seat.
  • Another member seconds the motion: I second the motion or I second it or second.
  • The chair states the motion: It is moved and seconded that ... Are you ready for the question?

Consideration of the Motion

1. Members can debate the motion.
2. Before speaking in debate, members obtain the floor.
3. The maker of the motion has first right to the floor if he claims it properly
4. Debate must be confined to the merits of the motion.
5. Debate can be closed only by order of the assembly (2/3 vote) or by the chair if no one seeks the floor for further debate.

The chair puts the motion to a vote

1. The chair asks: Are you ready for the question? If no one rises to claim the floor, the chair proceeds to take the vote.
2. The chair says: The question is on the adoption of the motion that ... As many as are in favor, say ‘Aye’. (Pause for response.) Those opposed, say ‘Nay’. (Pause for response.) Those abstained please say ‘Aye’.

The chair announces the result of the vote.

1. The ayes have it, the motion carries, and ... (indicating the effect of the vote) or
2. The nays have it and the motion fails

WHEN DEBATING YOUR MOTIONS

1. Listen to the other side
2. Focus on issues, not personalities
3. Avoid questioning motives
4. Be polite
HOW TO ACCOMPLISH WHAT YOU WANT TO DO IN MEETINGS

MAIN MOTION

You want to propose a new idea or action for the group.

- After recognition, make a main motion.
- Member: "Madame Chairman, I move that _________."

AMENDING A MOTION

You want to change some of the wording that is being discussed.

- After recognition, "Madame Chairman, I move that the motion be amended by adding the following words _________."
- After recognition, "Madame Chairman, I move that the motion be amended by striking out the following words _________."
- After recognition, "Madame Chairman, I move that the motion be amended by striking out the following words, _________, and adding in their place the following words _________."

REFER TO A COMMITTEE

You feel that an idea or proposal being discussed needs more study and investigation.

- After recognition, "Madame Chairman, I move that the question be referred to a committee made up of members Smith, Jones and Brown."

POSTPONE DEFINITELY

You want the membership to have more time to consider the question under discussion and you want to postpone it to a definite time or day, and have it come up for further consideration.

- After recognition, "Madame Chairman, I move to postpone the question until _________."

PREVIOUS QUESTION

You think discussion has gone on for too long and you want to stop discussion and vote.

- After recognition, "Madam President, I move the previous question."

LIMIT DEBATE

You think discussion is getting long, but you want to give a reasonable length of time for consideration of the question.

- After recognition, "Madam President, I move to limit discussion to two minutes per speaker."
POSTPONE INDEFINITELY

You want to kill a motion that is being discussed.
  • After recognition, "Madam Moderator, I move to postpone the question indefinitely."

POSTPONE INDEFINITELY

You are against a motion just proposed and want to learn who is for and who is against the motion.
  • After recognition, "Madame President, I move to postpone the motion indefinitely."

RECESS

You want to take a break for a while.
  • After recognition, "Madame Moderator, I move to recess for ten minutes."

ADJOURNMENT

You want the meeting to end.
  • After recognition, "Madame Chairman, I move to adjourn."

PERMISSION TO WITHDRAW A MOTION

You have made a motion and after discussion, are sorry you made it.
  • After recognition, "Madam President, I ask permission to withdraw my motion."

CALL FOR ORDERS OF THE DAY

At the beginning of the meeting, the agenda was adopted. The chairman is not following the order of the approved agenda.
  • Without recognition, "Call for orders of the day."

SUSPENDING THE RULES

The agenda has been approved and as the meeting progressed, it became obvious that an item you are interested in will not come up before adjournment.
  • After recognition, "Madam Chairman, I move to suspend the rules and move item 5 to position 2."

POINT OF PERSONAL PRIVILEGE

The noise outside the meeting has become so great that you are having trouble hearing.
  • Without recognition, "Point of personal privilege."
  • Chairman: "State your point."
  • Member: "There is too much noise, I can't hear."
COMMITTEE OF THE WHOLE

You are going to propose a question that is likely to be controversial and you feel that some of the members will try to kill it by various maneuvers. Also you want to keep out visitors and the press.

- After recognition, "Madame Chairman, I move that we go into a committee of the whole."

POINT OF ORDER

It is obvious that the meeting is not following proper rules.

- Without recognition, "I rise to a point of order," or "Point of order."

POINT OF INFORMATION

You are wondering about some of the facts under discussion, such as the balance in the treasury when expenditures are being discussed.

- Without recognition, "Point of information."

POINT OF PARLIAMENTARY INQUIRY

You are confused about some of the parliamentary rules.

- Without recognition, "Point of parliamentary inquiry."

APPEAL FROM THE DECISION OF THE CHAIR

Without recognition, "I appeal from the decision of the chair."

Rule Classification and Requirements

<table>
<thead>
<tr>
<th>Class of Rule</th>
<th>Requirements to Adopt</th>
<th>Requirements to Suspend</th>
</tr>
</thead>
<tbody>
<tr>
<td>Charter</td>
<td>Adopted by majority vote or as proved by law or governing authority</td>
<td>Cannot be suspended</td>
</tr>
<tr>
<td>Bylaws</td>
<td>Adopted by membership</td>
<td>Cannot be suspended</td>
</tr>
<tr>
<td>Special Rules of Order</td>
<td>Previous notice &amp; 2/3 vote, or a majority of entire membership</td>
<td>2/3 Vote</td>
</tr>
<tr>
<td>Standing Rules</td>
<td>Majority vote</td>
<td>Can be suspended for session by majority vote during a meeting</td>
</tr>
<tr>
<td>Modified Roberts Rules of Order</td>
<td>Adopted in bylaws</td>
<td>2/3 vote</td>
</tr>
</tbody>
</table>
OPMA – AGENCY OBLIGATIONS: A STARTING POINT

PRACTICE TIPS
For Local Government Success

The basic requirement of the Open Public Meetings Act (OPMA) is that meetings of governing bodies be open and public. Use these practice tips to guide your agency’s OPMA compliance.* For more information and resources visit www.mrsc.org/opmapra.

Basic Requirements

• **All meetings open and public.** All meetings of governing bodies of public agencies must be open to the public, except for certain exceptions outlined in the OPMA. RCW 42.30.030.

• **Quorum.** Generally, a meeting occurs when a quorum (majority) of the governing body is in attendance and action is taken, which includes discussion or deliberation as well as voting. RCW 42.30.020(2) & (3).

• **Attendees.** All persons must be permitted to attend and attendees cannot be required to register their names or other information as a condition of attendance. Disruptive and disorderly attendees may be removed. RCW 42.30.040 & .050.

• **No secret ballots.** Votes may not be taken by secret ballot. RCW 42.30.060(2).

• **Adoption of ordinances.** Ordinances, resolutions, rules, regulations, and orders must be adopted at a public meeting or they are invalid. RCW 42.30.060(1).

Position in Agency Required to Comply

<table>
<thead>
<tr>
<th>Position in Agency</th>
<th>Required to Comply</th>
</tr>
</thead>
<tbody>
<tr>
<td>Member of a governing body</td>
<td>Yes</td>
</tr>
<tr>
<td>□ City or Town Councilmember or Mayor</td>
<td></td>
</tr>
<tr>
<td>□ County Commissioner or County Councilmember</td>
<td></td>
</tr>
<tr>
<td>□ Special Purpose District Commissioner/Board Member</td>
<td></td>
</tr>
<tr>
<td>Member of a subagency created by ordinance or legislative act, e.g.:</td>
<td>Yes</td>
</tr>
<tr>
<td>□ Planning Commission</td>
<td></td>
</tr>
<tr>
<td>□ Library Board</td>
<td></td>
</tr>
<tr>
<td>□ Parks Board</td>
<td></td>
</tr>
<tr>
<td>□ Civil Service Commission</td>
<td></td>
</tr>
<tr>
<td>Member of a committee</td>
<td>Yes</td>
</tr>
<tr>
<td>□ Committees that act on behalf of (exercise actual or de facto decision-making authority for) the governing body, conduct hearings, or take testimony or public comment</td>
<td></td>
</tr>
<tr>
<td>□ Committees that are purely advisory</td>
<td>No</td>
</tr>
<tr>
<td>Agency staff</td>
<td>No</td>
</tr>
</tbody>
</table>

Penalties for Noncompliance

• **Actions null and void.** Any action taken at a meeting which fails to comply with the provisions of the OPMA is null and void. RCW 42.30.060(1).

• **Personal liability.** Potential personal liability of $500 for any member of a governing body who attends a meeting knowing that it violates the OPMA and $1,000 for any subsequent OPMA violation. RCW 42.30.120(1)(2).

• **Agency liability.** Any person who prevails against an agency in any action in the courts for a violation of the OPMA will be awarded all costs, including attorney fees, incurred in connection with such legal action. RCW 42.30.120(2).

OPMA Training Requirements

• Every member of a governing body of a public agency must complete training requirements on the OPMA within 90 days of assuming office or taking the oath of office. RCW 42.30.205(1).

• In addition, every member of a governing body must complete training at intervals of no more than four years as long as they remain in office. RCW 42.30.205(2).

*DISCLAIMER: These practice tips are meant to provide summary information on basic agency obligations of the OPMA; the practice tips are not intended to be regarded as specific legal advice. Consult with your agency’s legal counsel about this topic as well.

May 2016